



University  
of Glasgow

# High energy solar/stellar atmospheres

*Eduard Kontar*

---

*School of Physics and Astronomy  
University of Glasgow, UK*

*STFC Summer School,*

*Northumbria University, Sept 11 2017*

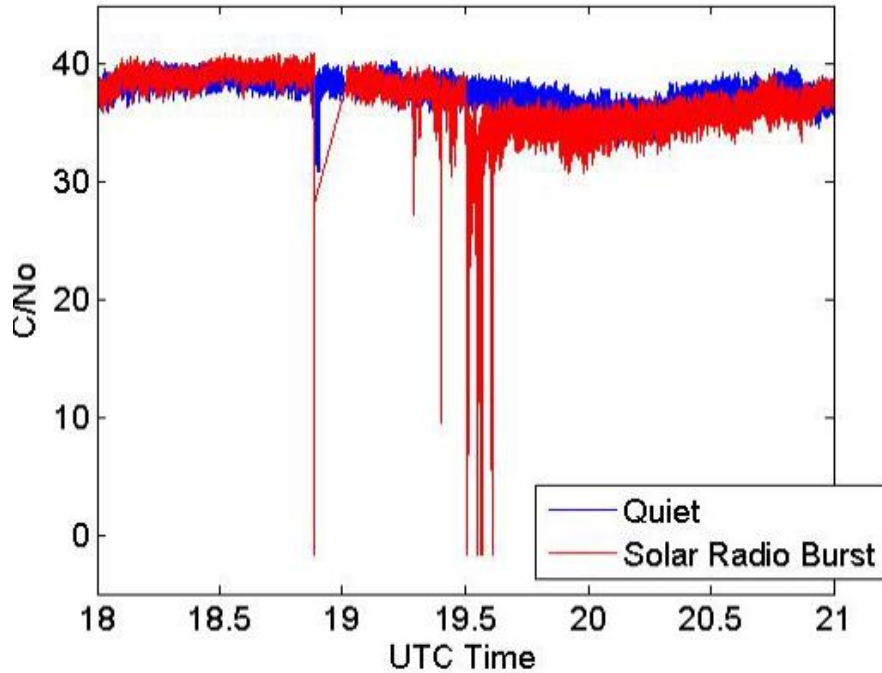
**I) Observations, motivations**

**II) X-ray and emission  
mechanisms/properties**

**III) Energetic particles from the Sun to the  
Earth**

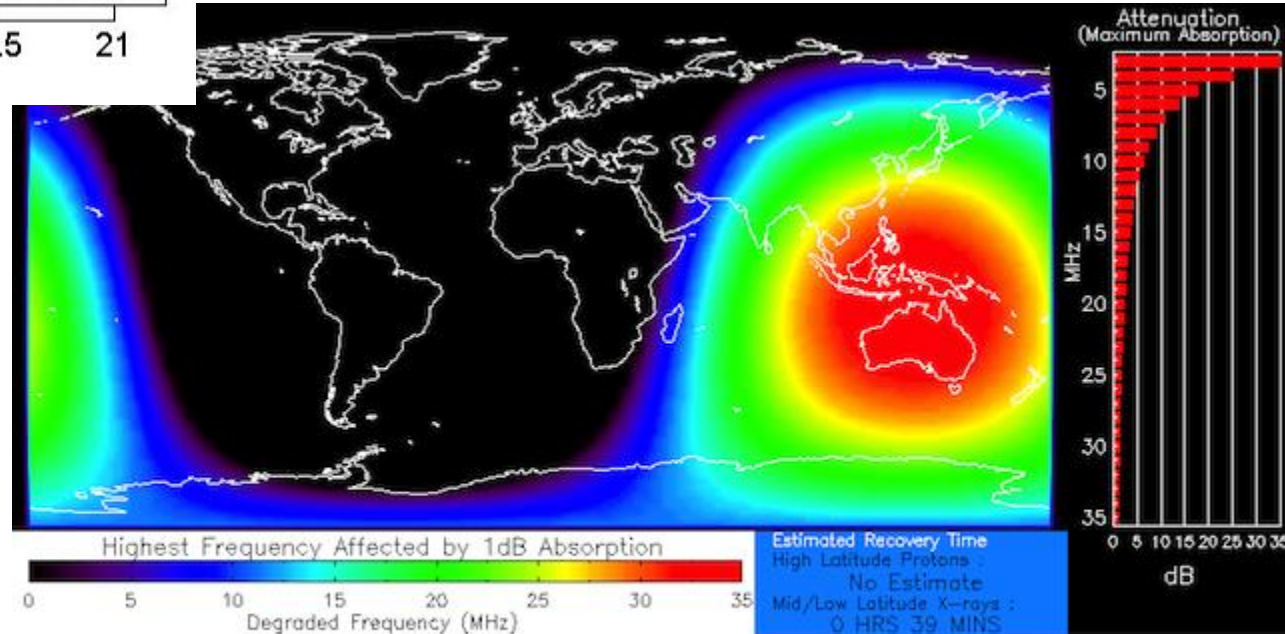
**IV) Particle acceleration mechanisms**





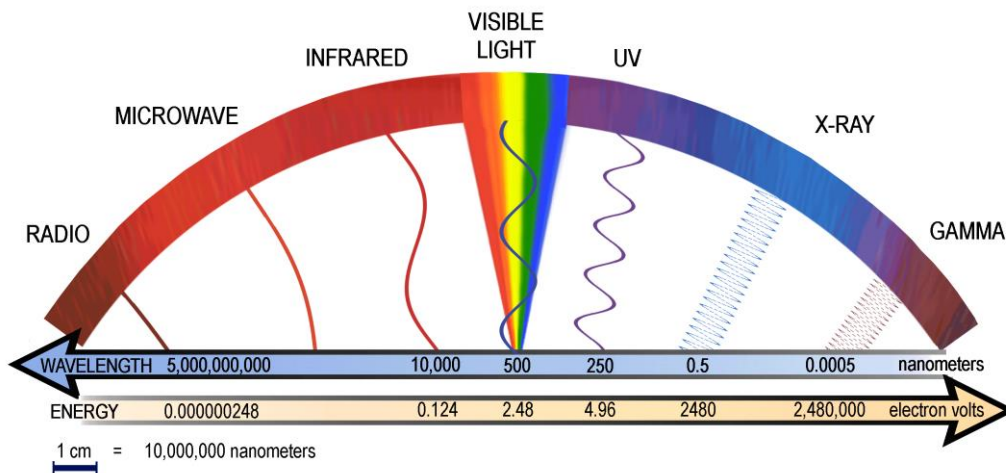
Most GPS receivers in the sunlit hemisphere failed for ~10 minutes. (P. Kintner) at Dec 6<sup>th</sup>, 2006 (tracking less than 4 s/c)  
See Gary et al, 2008

Ionising radiation and impact on ionosphere



**Solar flares** are rapid localised brightening in the lower atmosphere.

More prominent in X-rays, UV/EUV and radio.... but can be seen from radio to 100 MeV



X-rays

radio waves

Particles 1AU

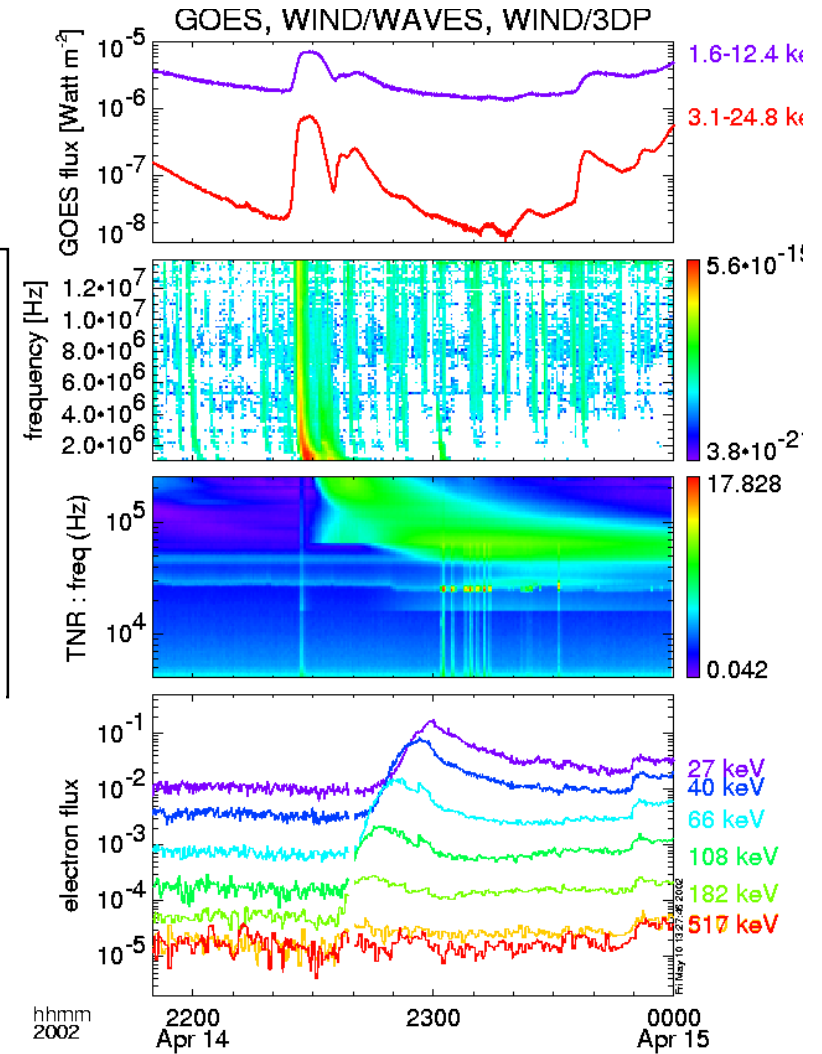
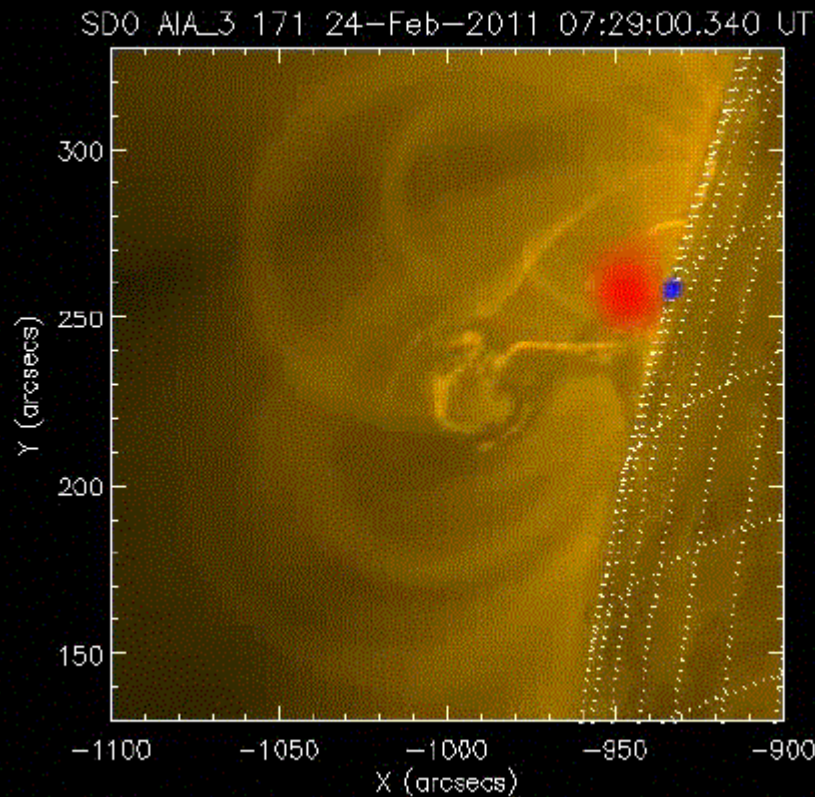


Figure from Krucker et al, 2007

**Solar flares** are rapid localised brightening in the lower atmosphere.

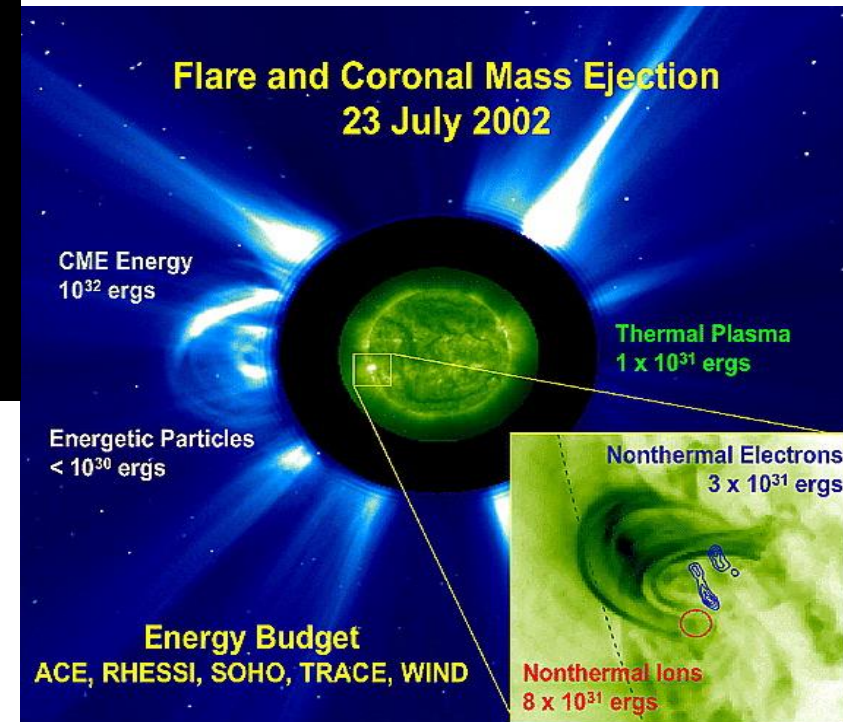
More prominent in X-rays, UV/EUV and radio.... but can be seen from radio to 100 MeV

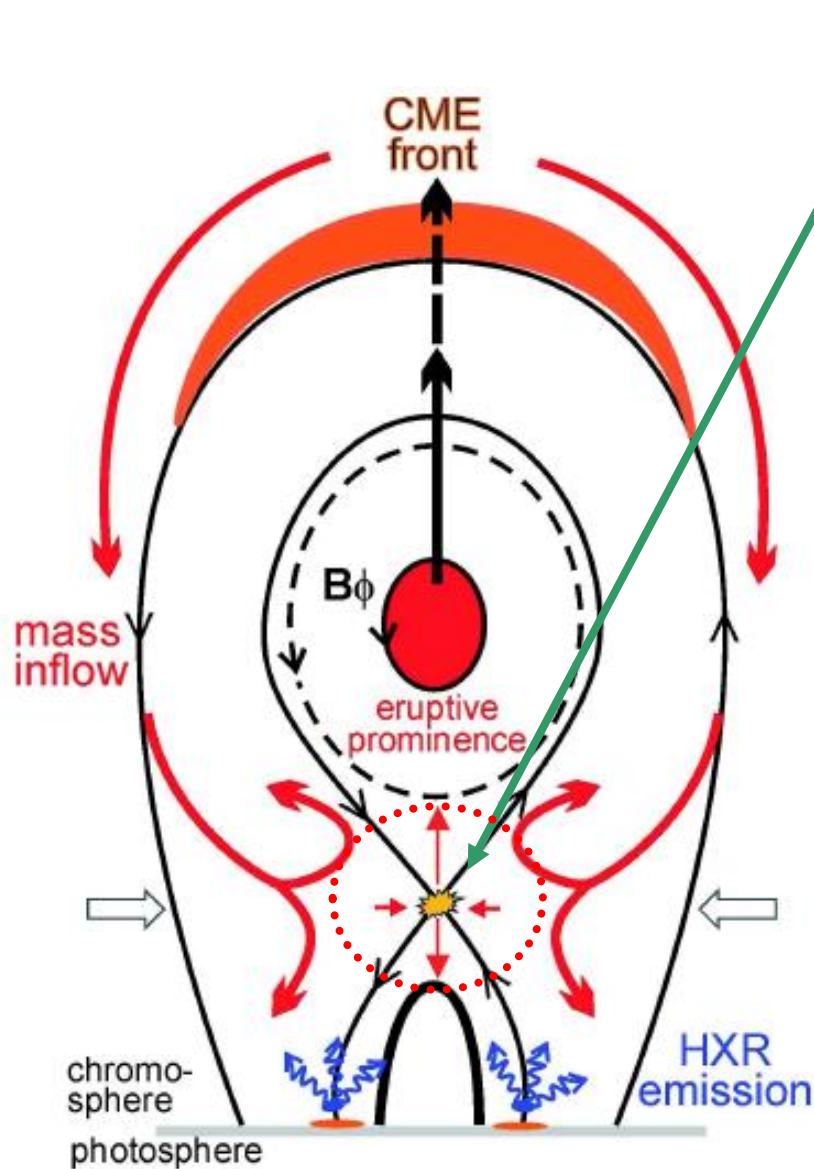


From Battaglia & Kontar, 2011

Energy  $\sim 2 \times 10^{32}$  ergs

From Emslie et al, 2004, 2005





## Energy release/acceleration

**Solar corona**  $T \sim 10^6 \text{ K} \Rightarrow 0.1 \text{ keV per particle}$

**Flaring region**  $T \sim 4 \times 10^7 \text{ K} \Rightarrow 3 \text{ keV per particle}$

**Flare volume**  $10^{27} \text{ cm}^3 \Rightarrow (10^4 \text{ km})^3$

**Plasma density**  $10^{10} \text{ cm}^{-3}$

**Photons up to  $> 100 \text{ MeV}$**

**Number of energetic electrons  $10^{36}$  per second**

**Electron energies  $> 10 \text{ MeV}$**

**Proton energies  $> 100 \text{ MeV}$**

**Large solar flare releases about  $10^{32}$  ergs (about half energy in energetic electrons)**

**1 megaton of TNT is equal to about  $4 \times 10^{22}$  ergs.**

Figure from Temmer et al, 2009

# X-ray and gamma-ray emissions





Observed X-rays

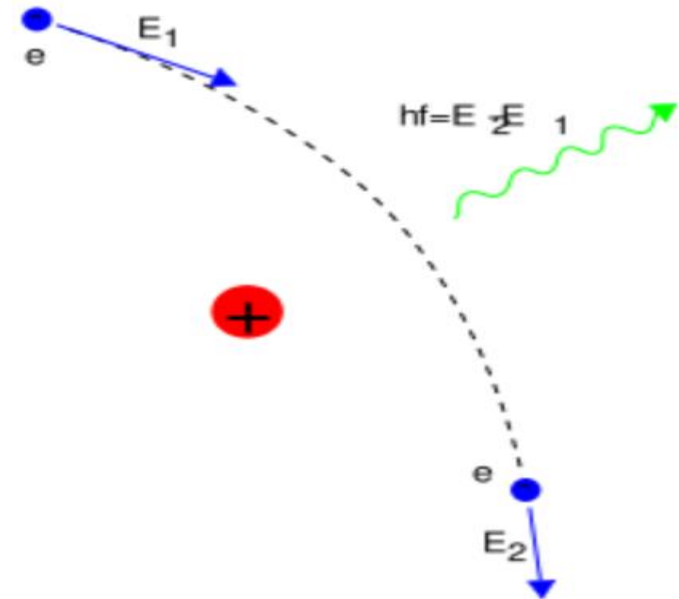
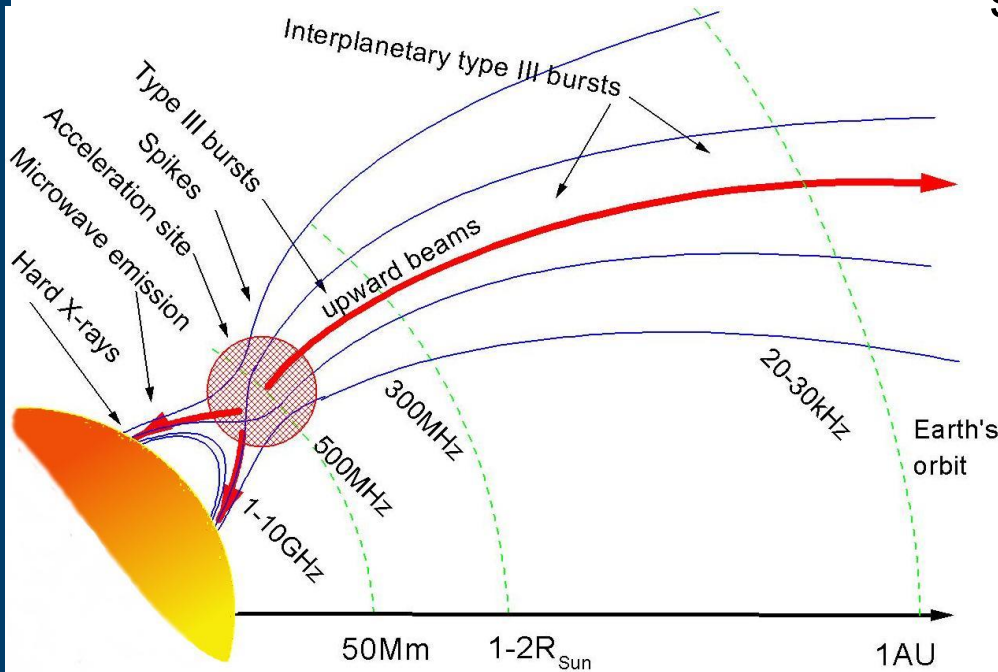
Unknown electron distribution

Emission cross-sections

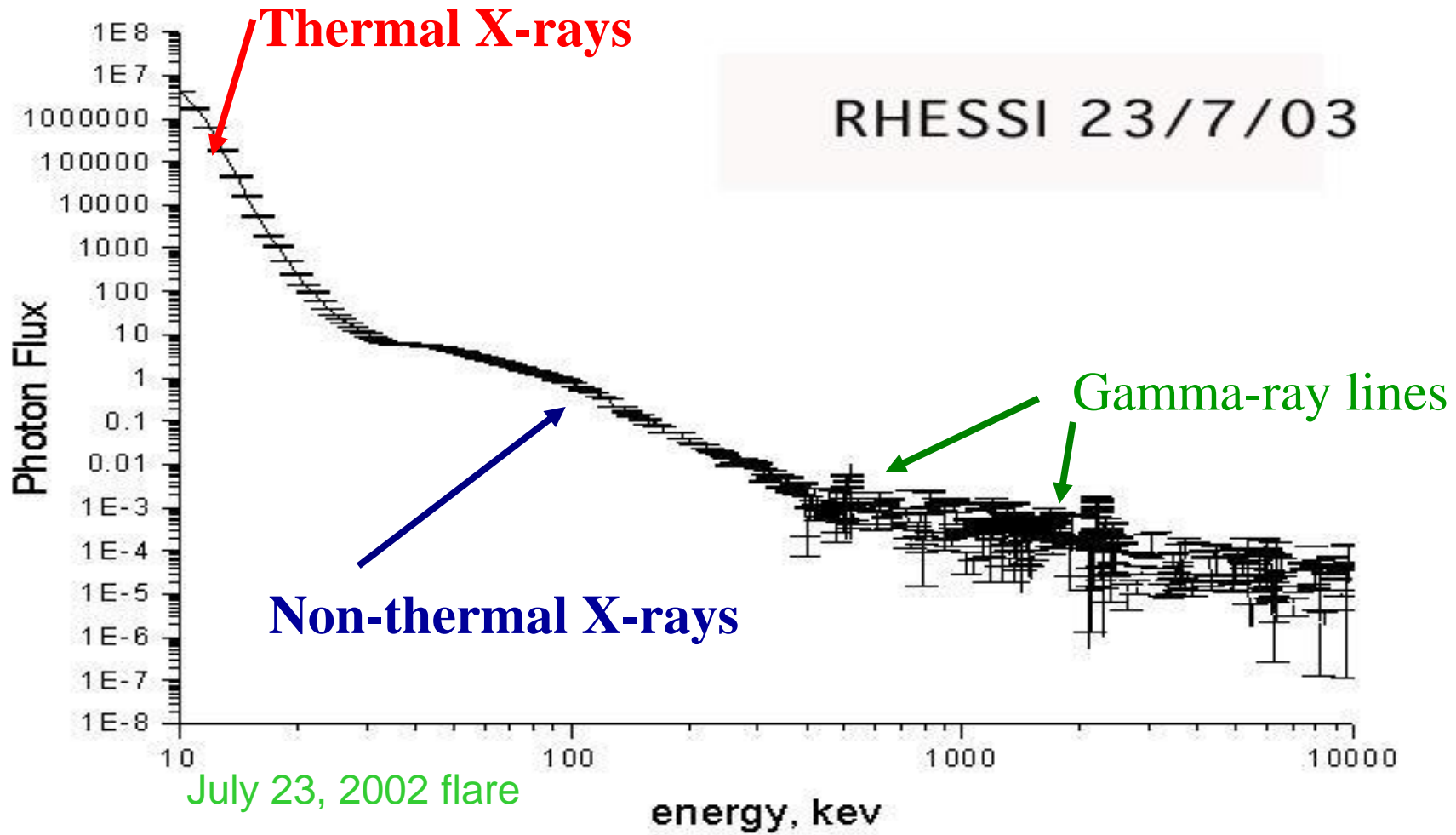
$$I(\epsilon, \Omega, t) = \int_{\ell} \int_{\Omega'} \int_{\epsilon}^{\infty} n(\mathbf{r}) \bar{F}(E, \Omega', \mathbf{r}, t) Q(\Omega, \Omega', \epsilon, E) dE d\Omega' d\ell,$$

Thin-target case: For the electron spectrum  $F(E) \sim E^{-\delta}$ ,

## Electron-ion bremsstrahlung (free-free emission)



Dominant process for energies  $\sim 10 - 400$  keV  
the photon spectrum is  $I(\epsilon) \sim \epsilon^{-\delta-1}$



Ramaty High Energy Solar Spectroscopic Imager (RHESSI) spectrum

For spatially integrated spectrum: 
$$I(\epsilon) = \frac{1}{4\pi R^2} \bar{n}V \int_{\epsilon}^{\infty} \bar{F}(E) Q(\epsilon, E) dE,$$

Thin-target case: For the electron spectrum  $\mathbf{F(E) \sim E^{-\delta}}$ ,

## a) Electron-ion bremsstrahlung (free-free emission)

Dominant process for energies  $\sim 10 - 400$  keV

the photon spectrum is  $\mathbf{I(\epsilon) \sim \epsilon^{-\delta-1}}$

In the simplest form Kramers' approximation: 
$$Q(\epsilon, E) = Z^2 \frac{\sigma_0}{\epsilon E},$$

## b) Electron-electron bremsstrahlung (free-free emission)

Dominant process for energies above 400 keV

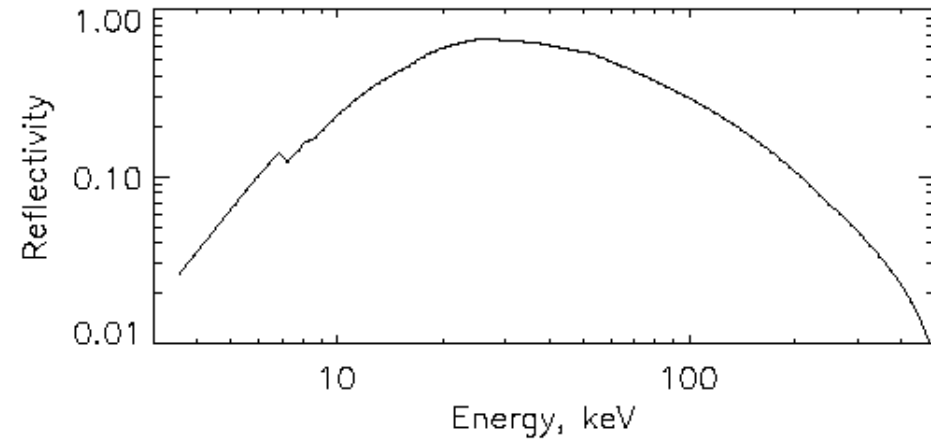
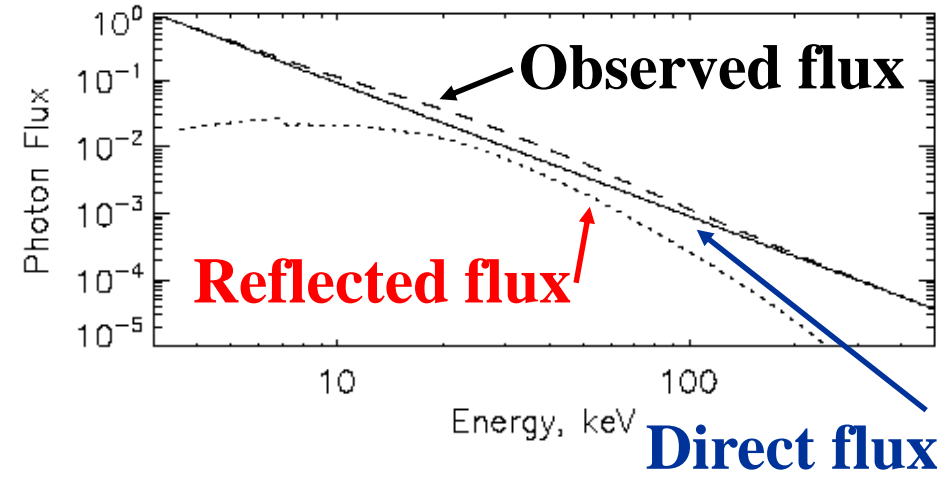
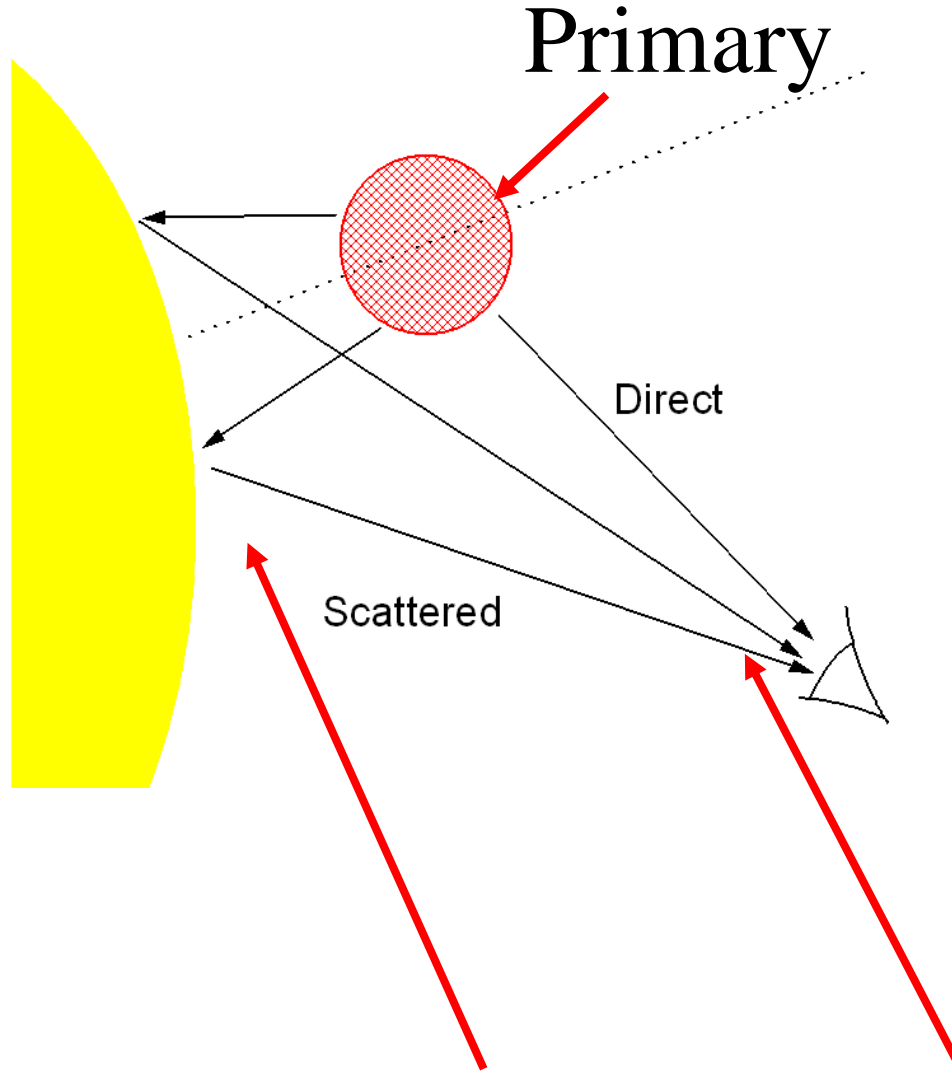
the photon spectrum is  $\mathbf{I(\epsilon) \sim \epsilon^{-\delta}}$

## c) Recombination emission (free-bound emission)

Could be dominant process for energies up to 20 keV

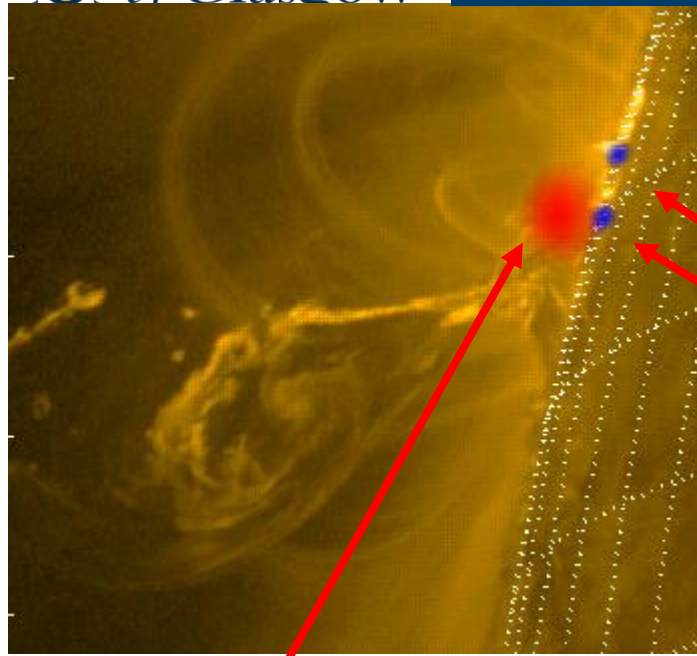
the photon spectrum is **shifted by ionisation potential** and  $\mathbf{I(\epsilon) \sim \epsilon^{-\delta-2}}$

(The process requires high temperatures and detailed ionisation calculations)



Reflected

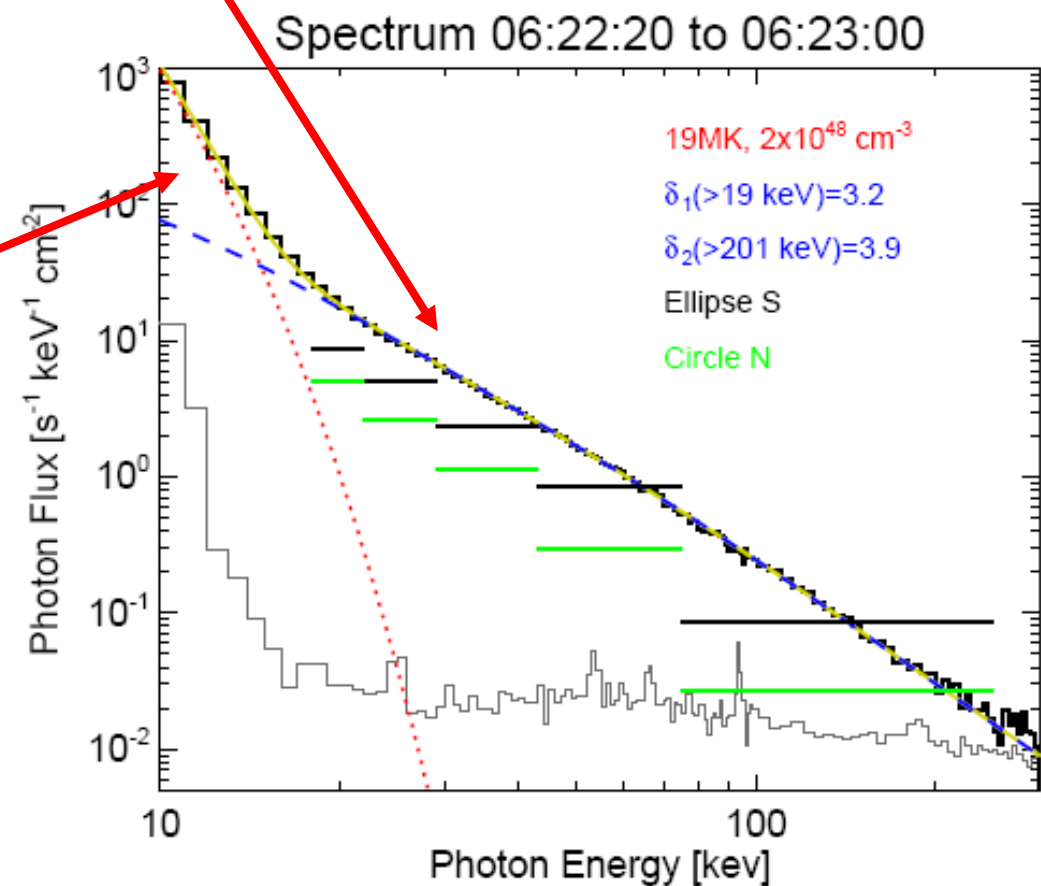
Observed

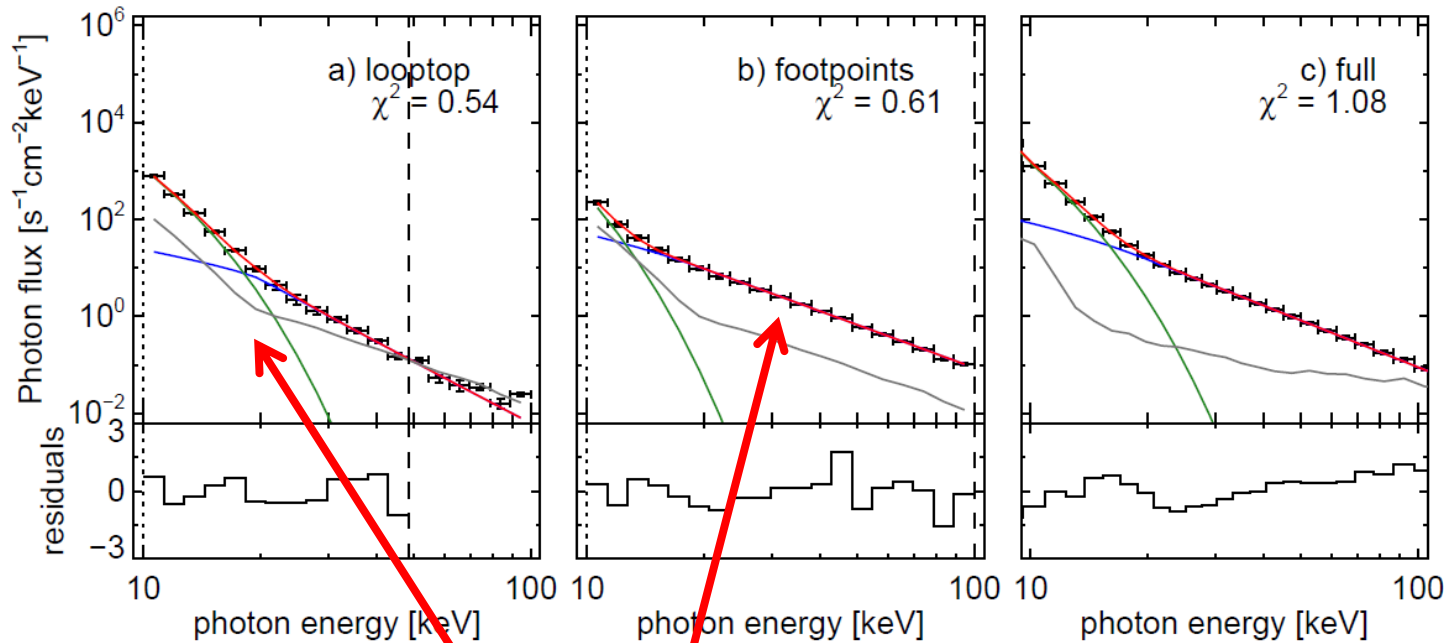


Soft X-ray coronal source  
HXR chromospheric  
footpoints

Footpoints

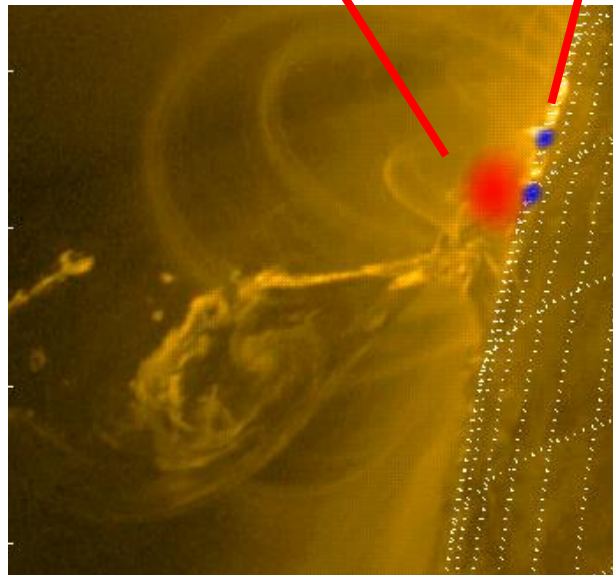
Coronal Source





**Loop-top:** Soft X-ray plus non-thermal component

**Footpoints:** Hard X-ray non-thermal power-law



Using imaging spectroscopy, we can infer spectra and numbers of energetic electrons both in coronal and foot-points sources.

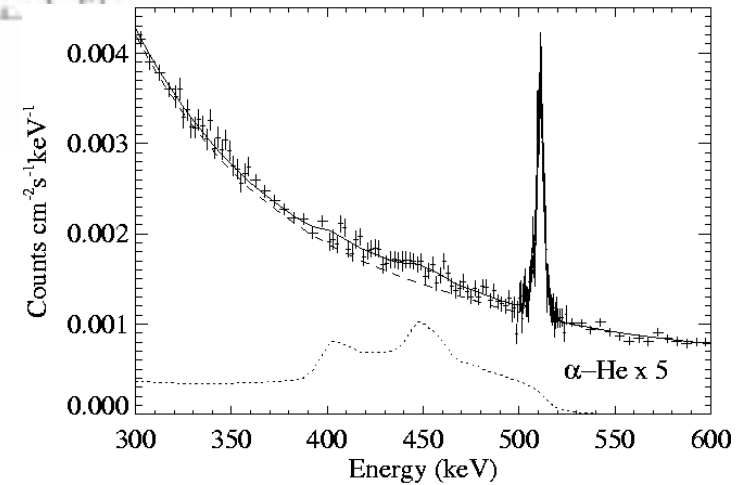
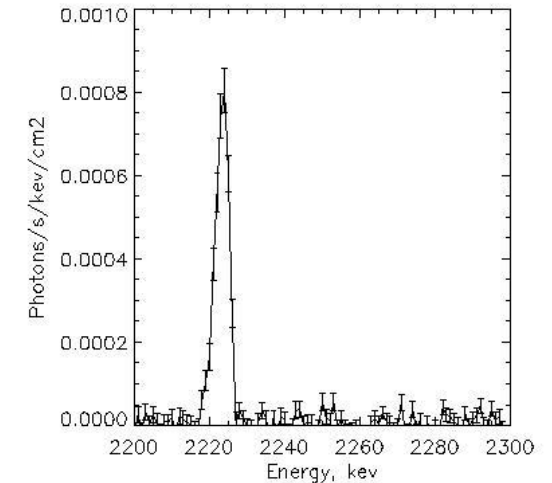
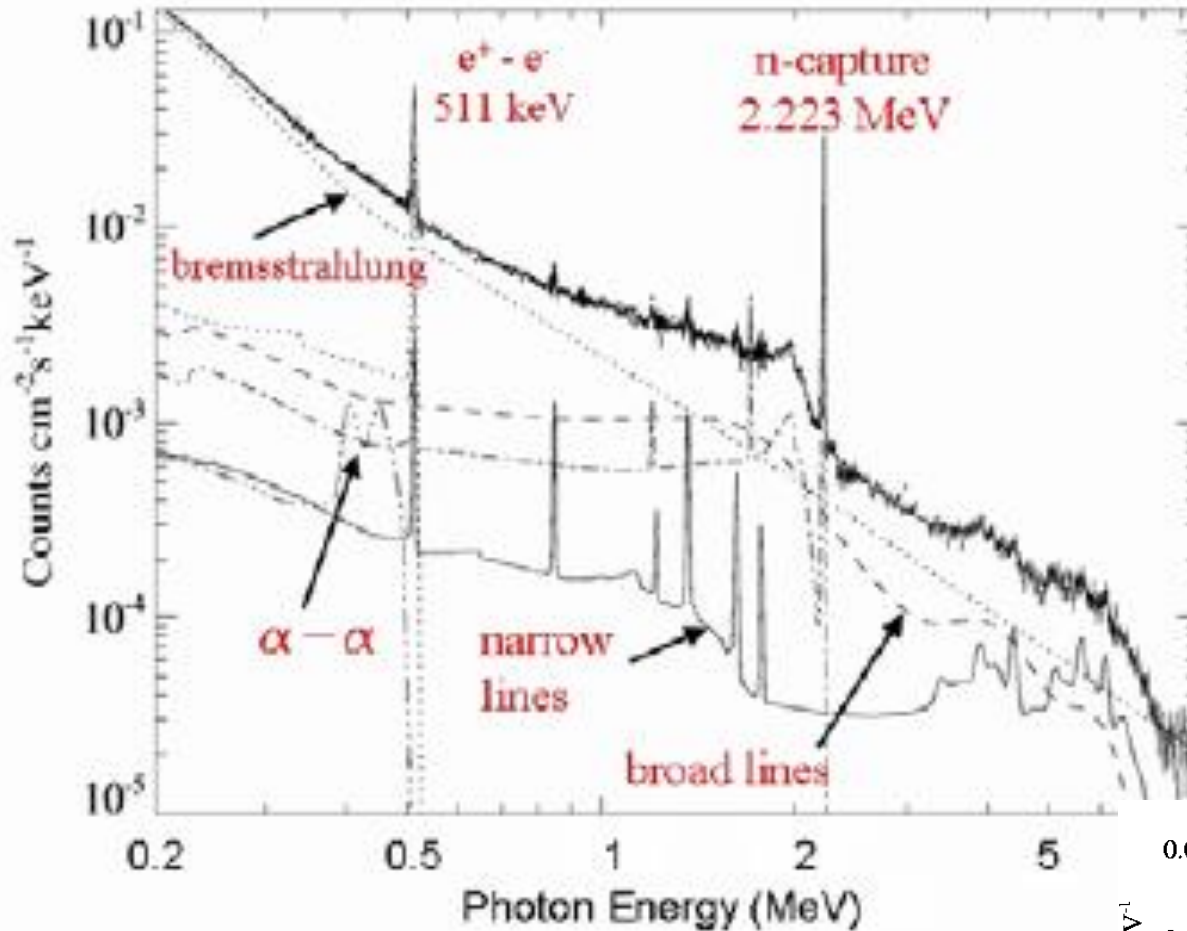
Above 30 keV, we have normally a few times electrons more in the LT than in FP source.

*Possible trapping by waves or mirror?*

e.g. Simoes & Kontar, A&A, 2013 Battaglia & Benz, 2006 Emslie et 2003



October 28, 2003 X-class flare  
(Share et al, 2004)  
spectrum

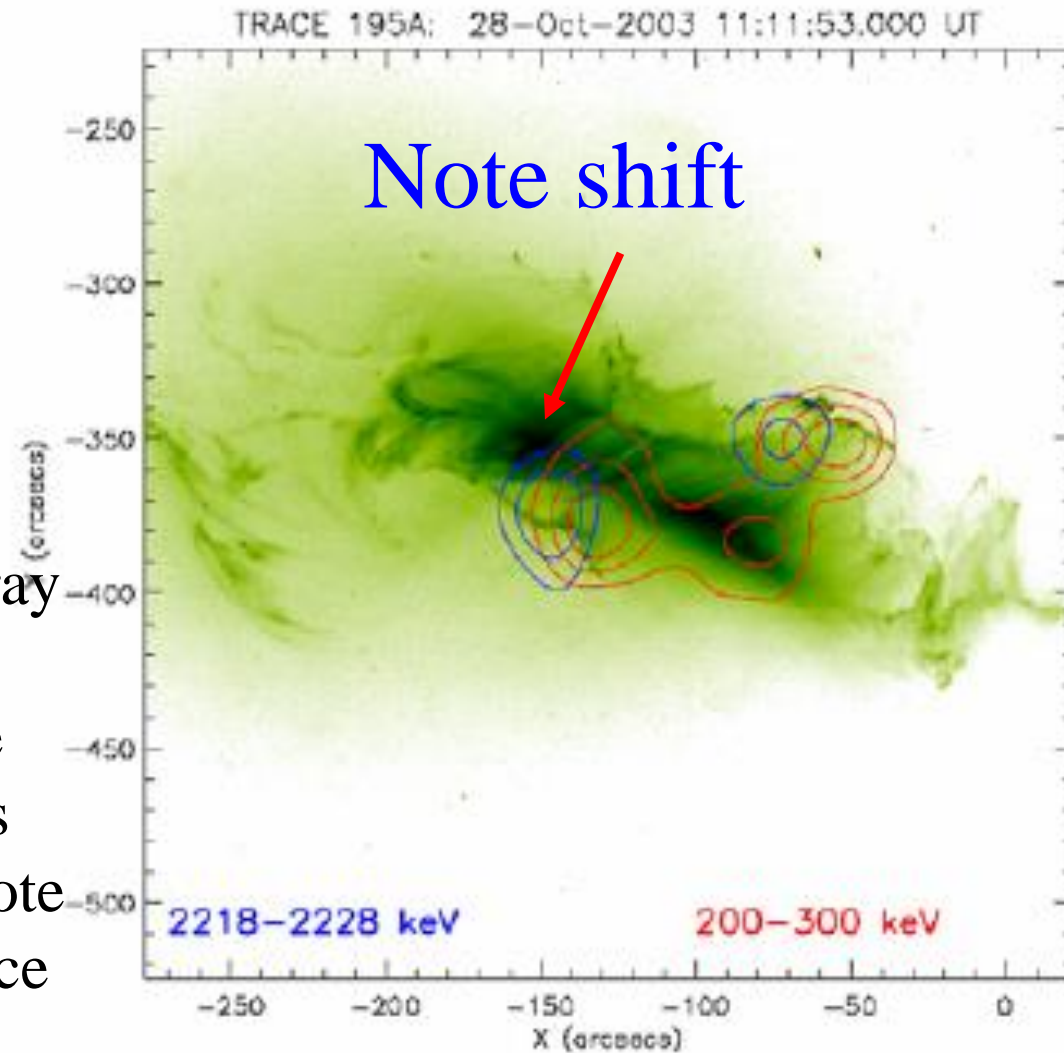


Alpha-alpha lines favours forward **isotropic distribution**

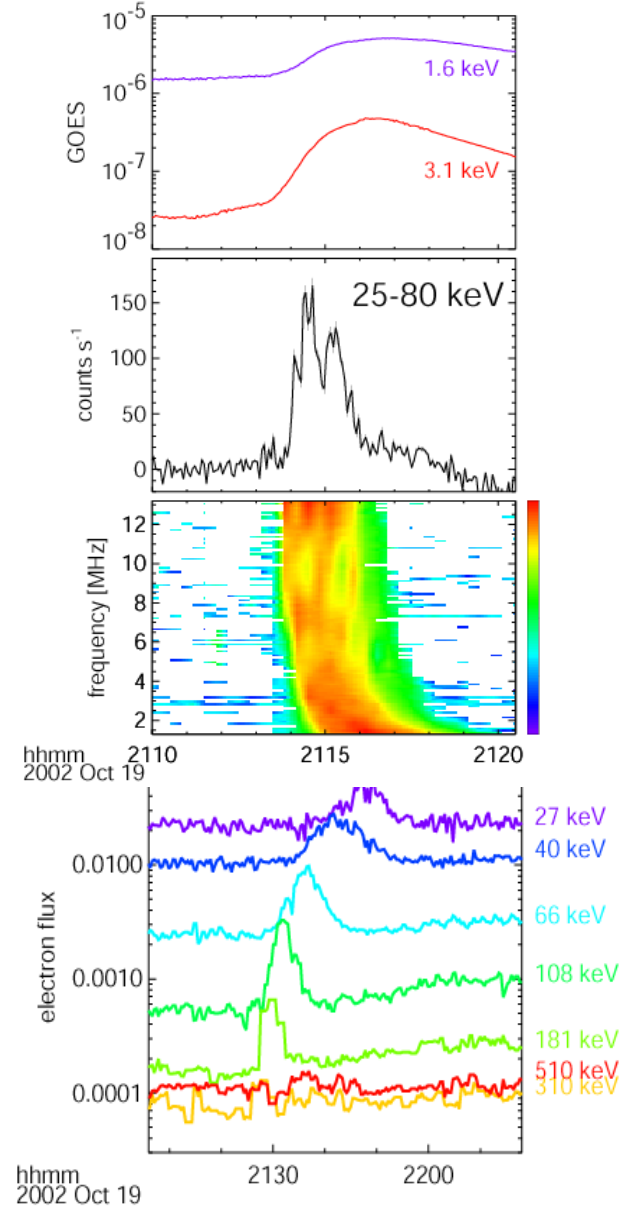
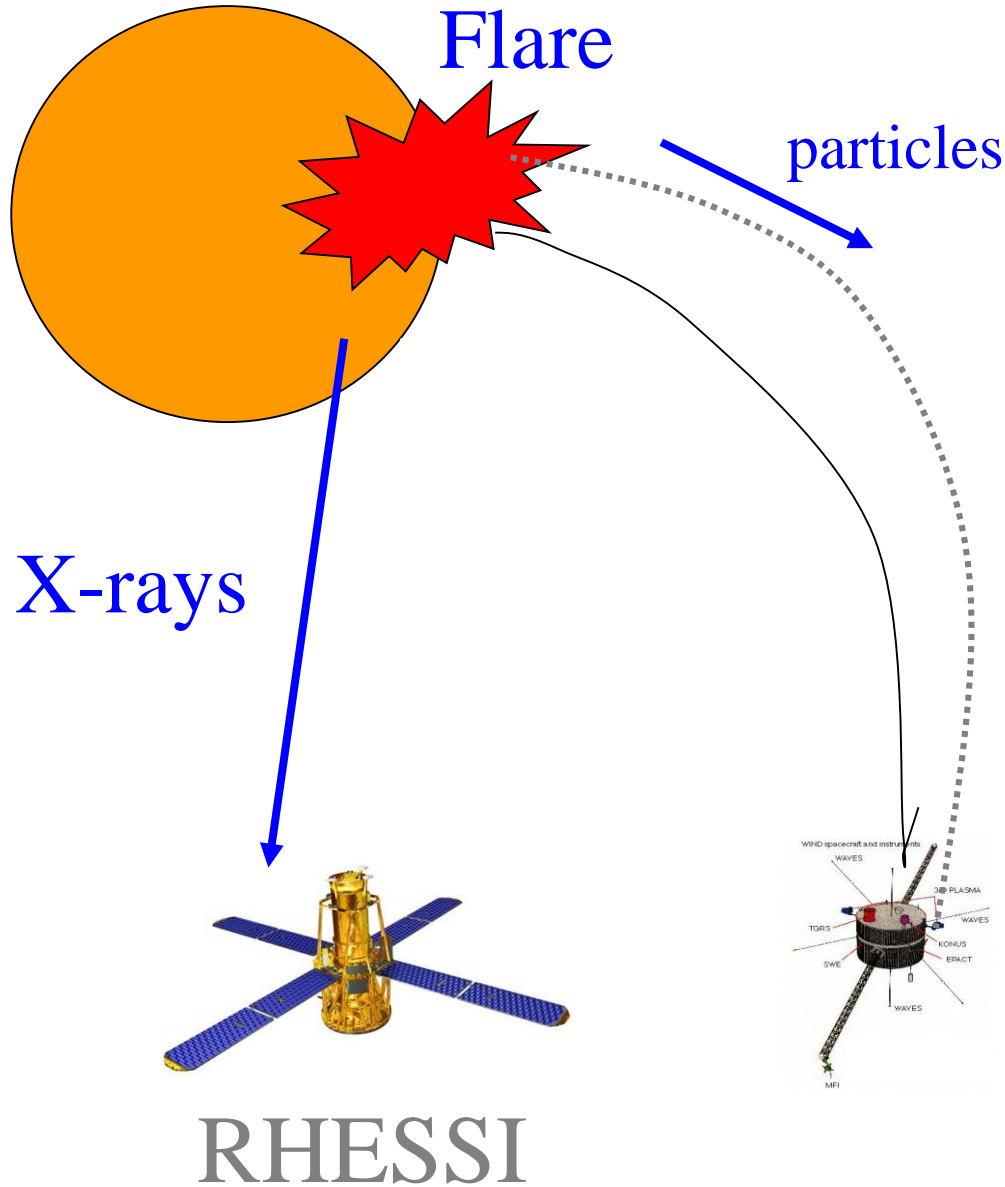
Proton & Alpha power law index is 3.75

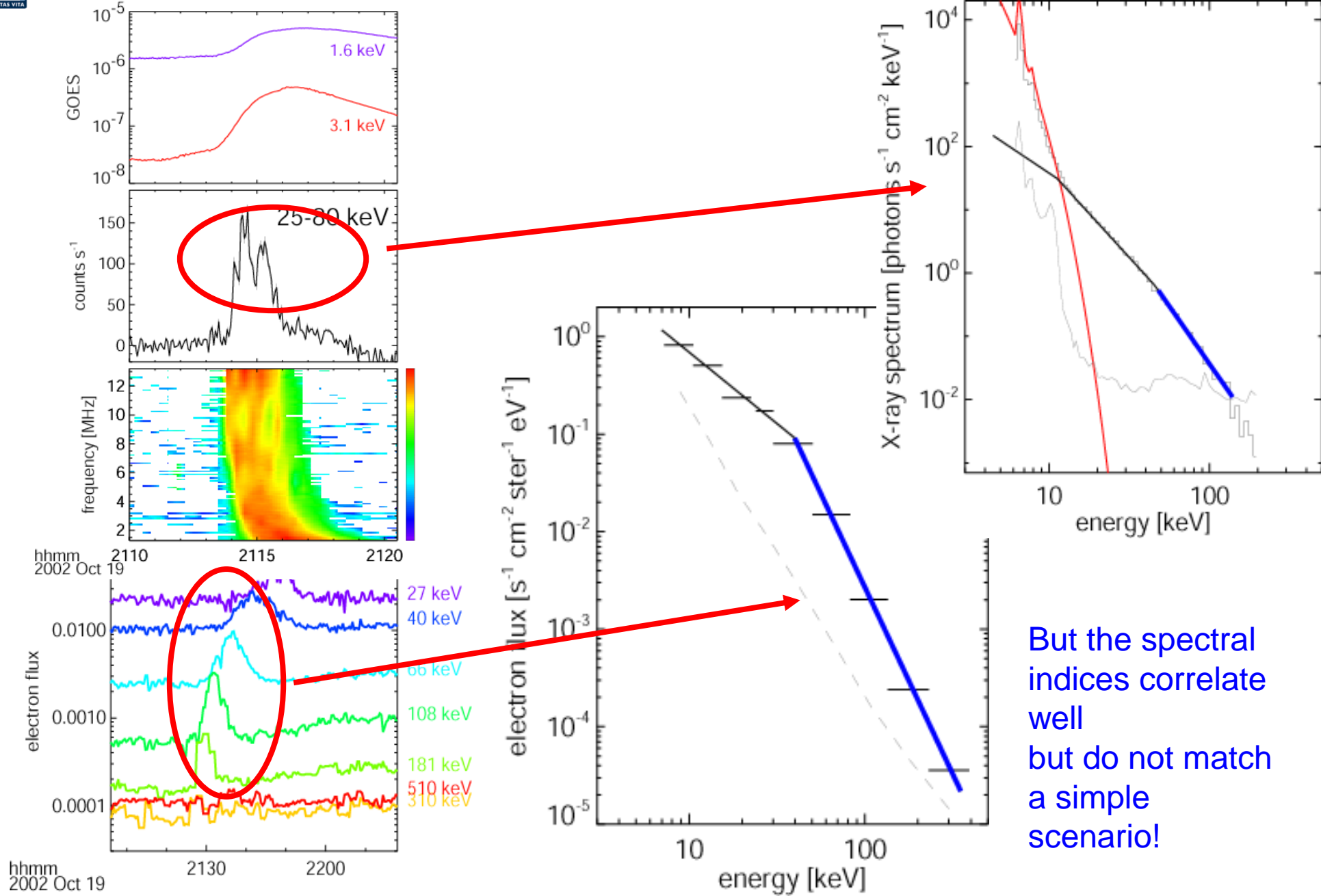
2.2MeV line shows ~100 s delay

Imaging of the 2.223 MeV neutroncapture line (blue contours) and the HXR electron bremsstrahlung (red contours) of the flare on October 28, 2003. The underlying image is from TRACE at 195 Å. The X-ray and  $\gamma$ -ray imaging shown here used exactly the same selection of detector arrays and imaging procedure. Note the apparent loop-top source in the hard X-ray contours Hurford et al 2006.

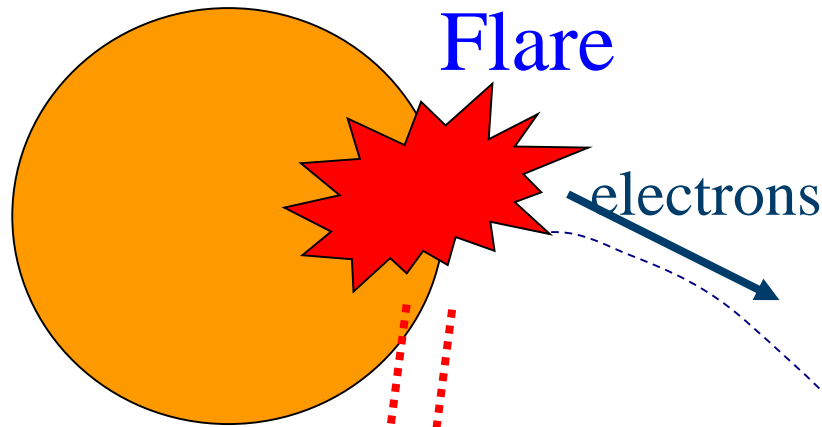




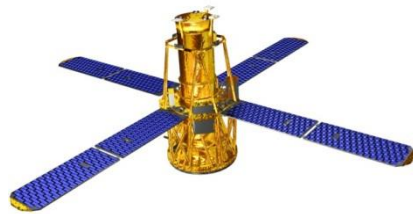




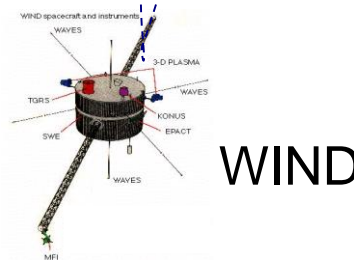
But the spectral indices correlate well but do not match a simple scenario!



From the analysis of 16 “scatter-free” events (Lin, 1985; Krucker et al, 2007) :  
 Although there is correlation between the total number of electrons at the Sun (thick-target model estimate) the spectral indices do not match either **thick-target or thin-target models**.

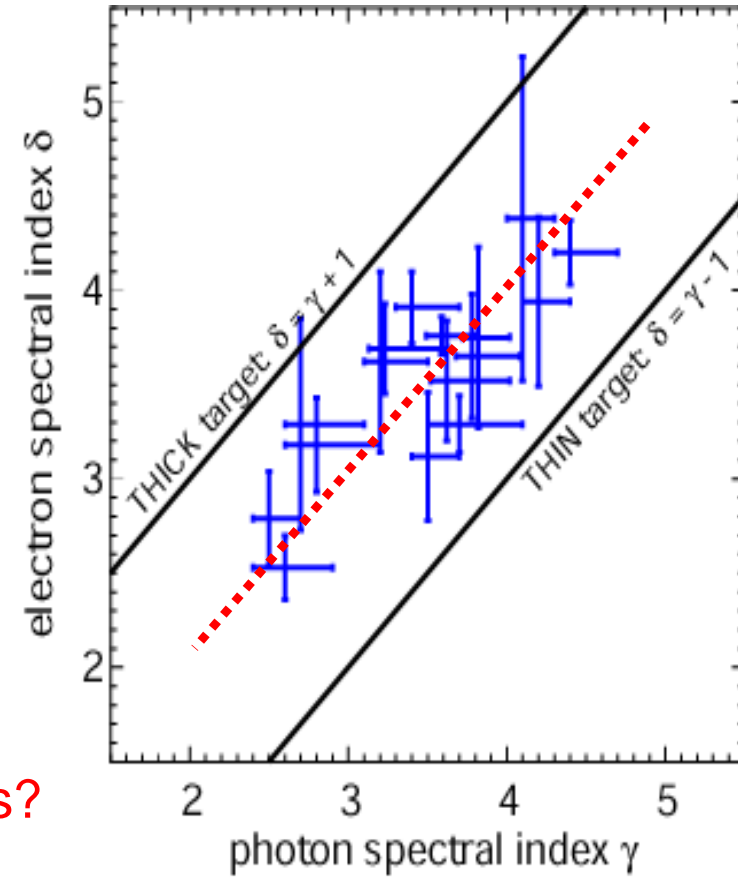


RHESSI



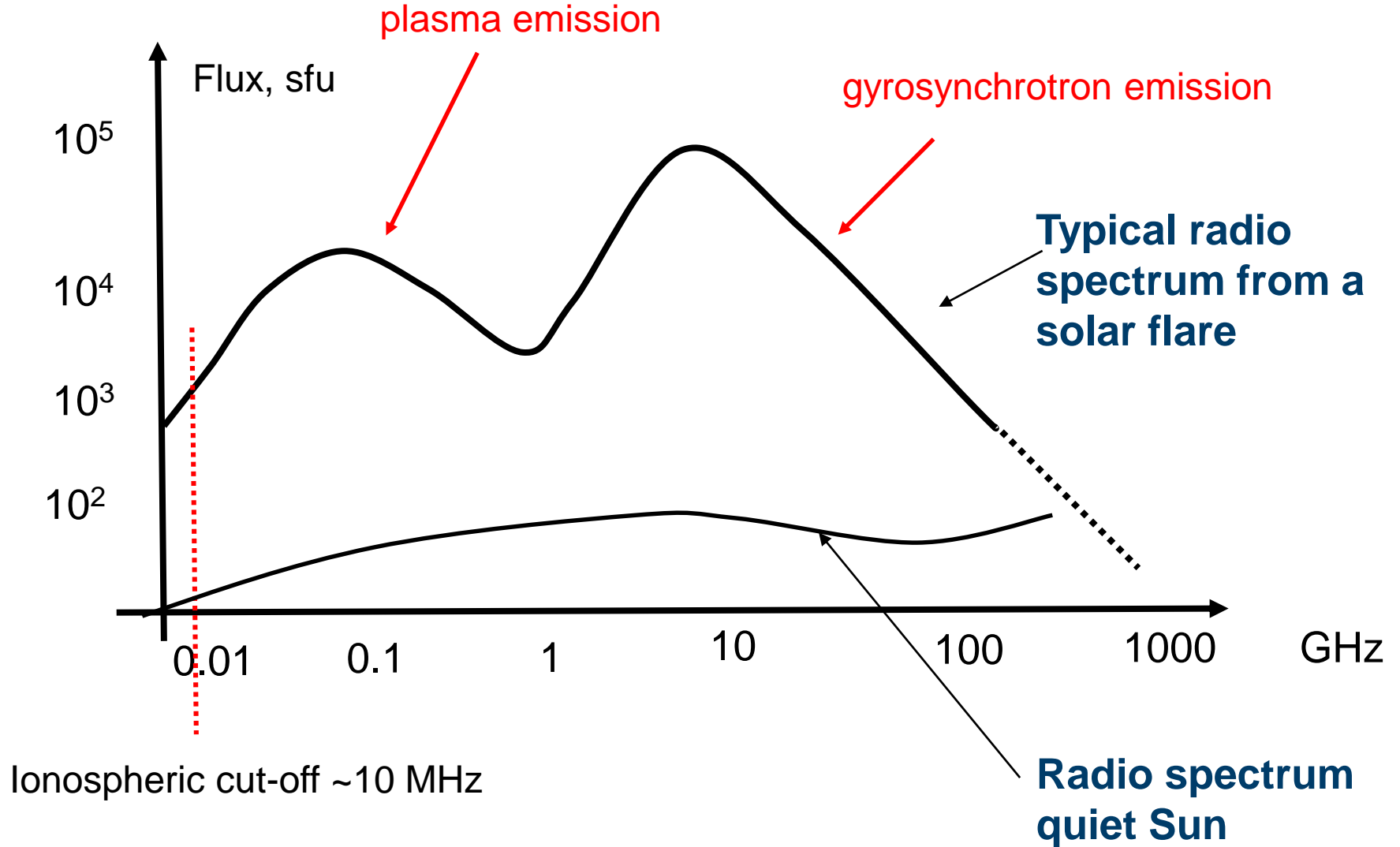
WIND

Acceleration or transport effects?





# Radio emission – important basics



1 sfu =  $10^4$  Jansky

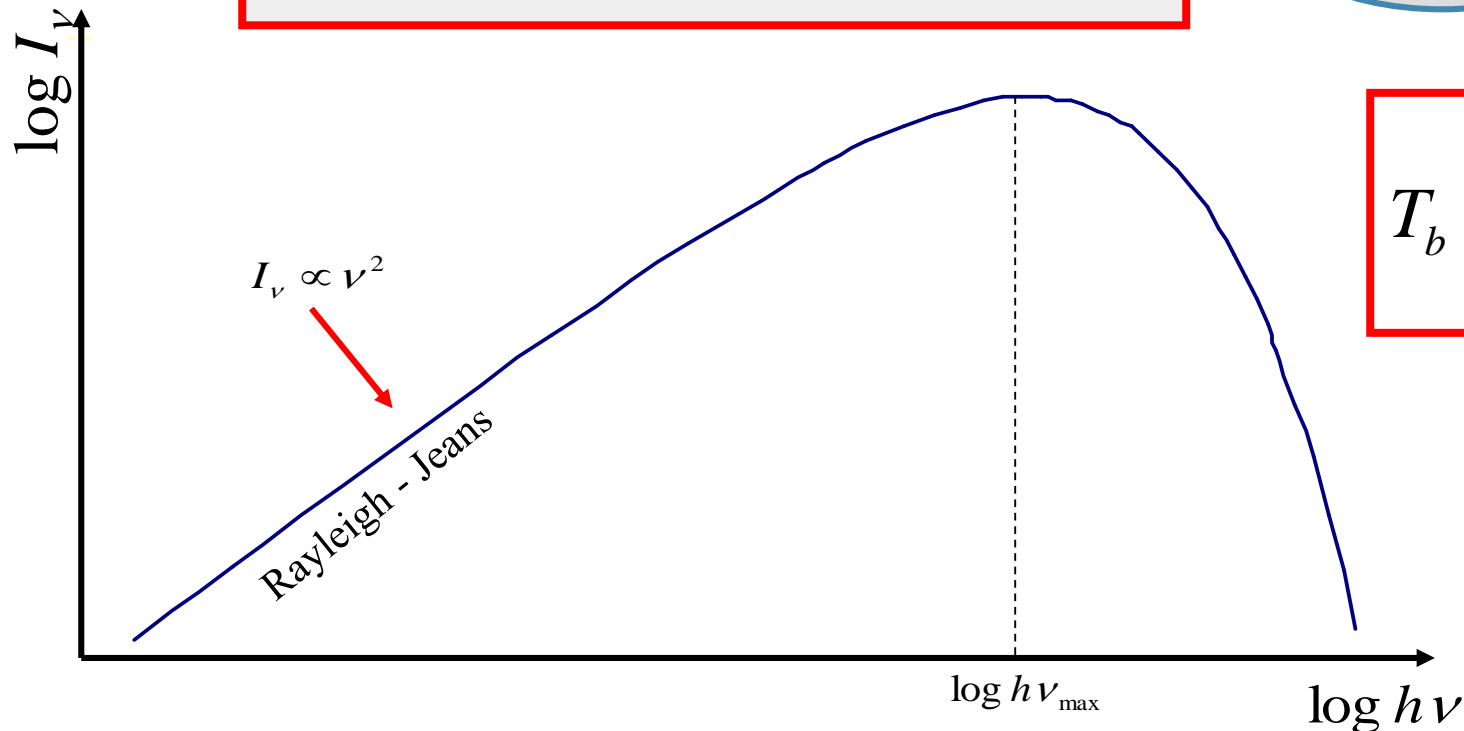
We can always make a definition, common in **radio astronomy**: **Brightness temperature**

At typical radio frequencies and temperatures  $h\nu \ll kT \Rightarrow \exp\left(\frac{h\nu}{kT}\right) - 1 \approx \frac{h\nu}{kT}$

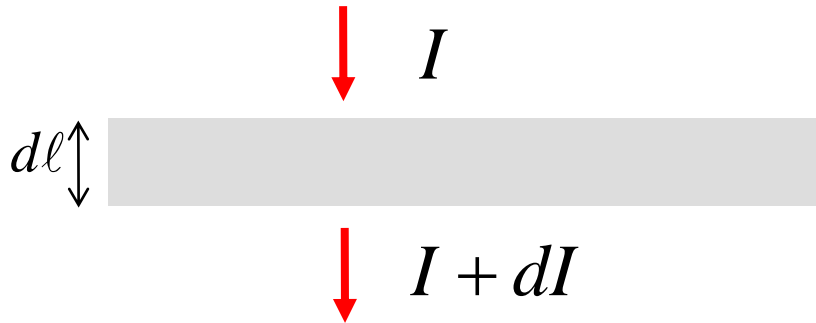
Hence

$$I_\nu = \frac{2h\nu^3}{c^2 \left[ \exp\left(\frac{h\nu}{kT}\right) - 1 \right]} \approx \frac{2\nu^2 kT}{c^2}$$

Rayleigh – Jeans  
approximation



$$T_b = \frac{c^2 I_\nu}{2\nu^2 k}$$



If we model the absorption in the slab as:

$$dI = -I \kappa d\ell$$

Absorption coefficient, which is not in general constant, but depends on depth and frequency in the atmosphere

The **optical depth**, denoted by  $\tau$ , so that

$$I_{\text{obs}} = I_0 e^{-\tau}$$

- If  $\tau = 0$  we describe the atmosphere as **"transparent"** and  $I_{\text{obs}} = I_0$
- If  $\tau \ll 1$  we describe the atmosphere as **"optically thin"** and  $I_{\text{obs}} \approx I_0$
- If  $\tau \geq 1$  we describe the atmosphere as **"optically thick"** and  $I_{\text{obs}} \ll I_0$

For example, free-free absorption coefficient (Dulk, 1985):

$$\kappa(\nu) = 0.2 n_e^2 T^{-\frac{3}{2}} \nu^{-2} (\text{cm}^{-1})$$



# Radio emission mechanisms



**Free-free emission** (collisions of electrons with protons and other particles)

**Gyromagnetic emission** (*cyclotron and gyrosynchrotron*)

**Coherent emission** *due to wave-wave and wave-particle interaction*

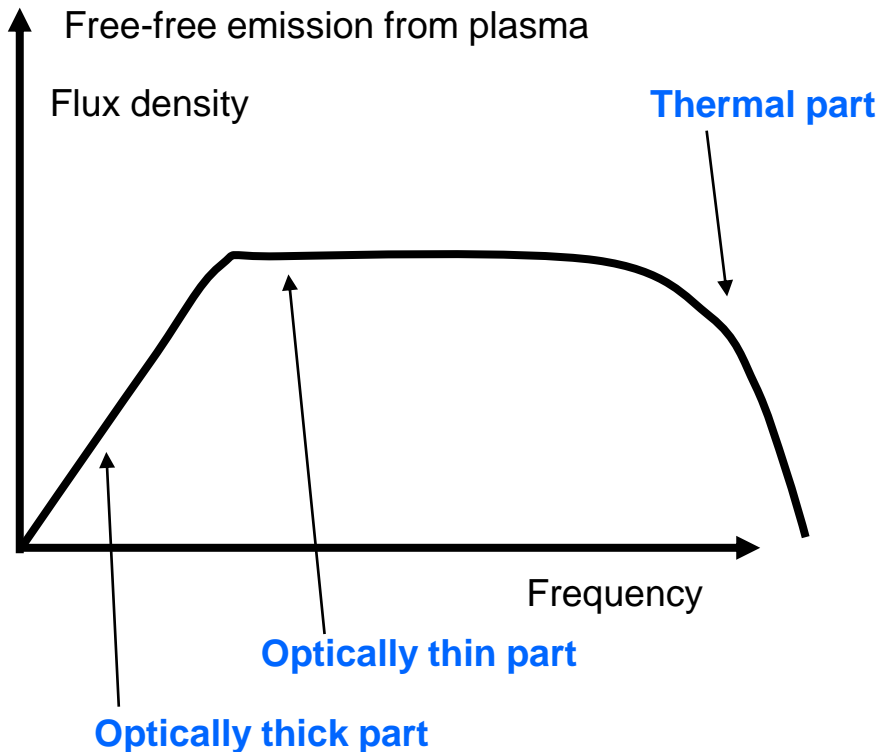
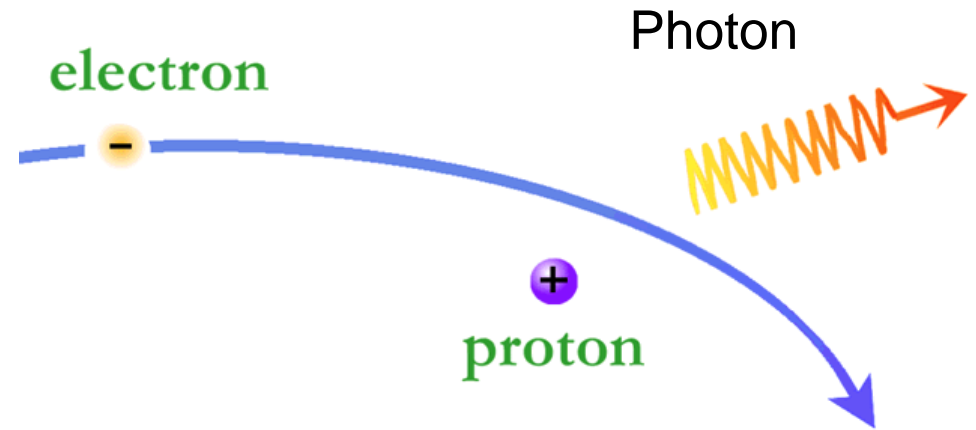
$$\nu_B = \frac{eB}{2\pi m_e c},$$

<= gyrofrequency

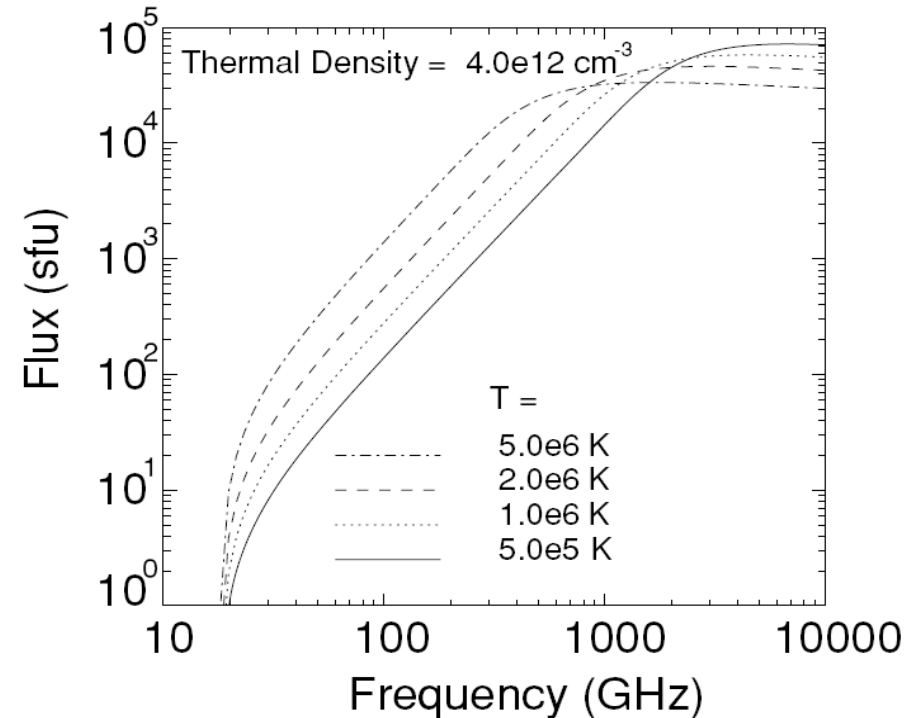
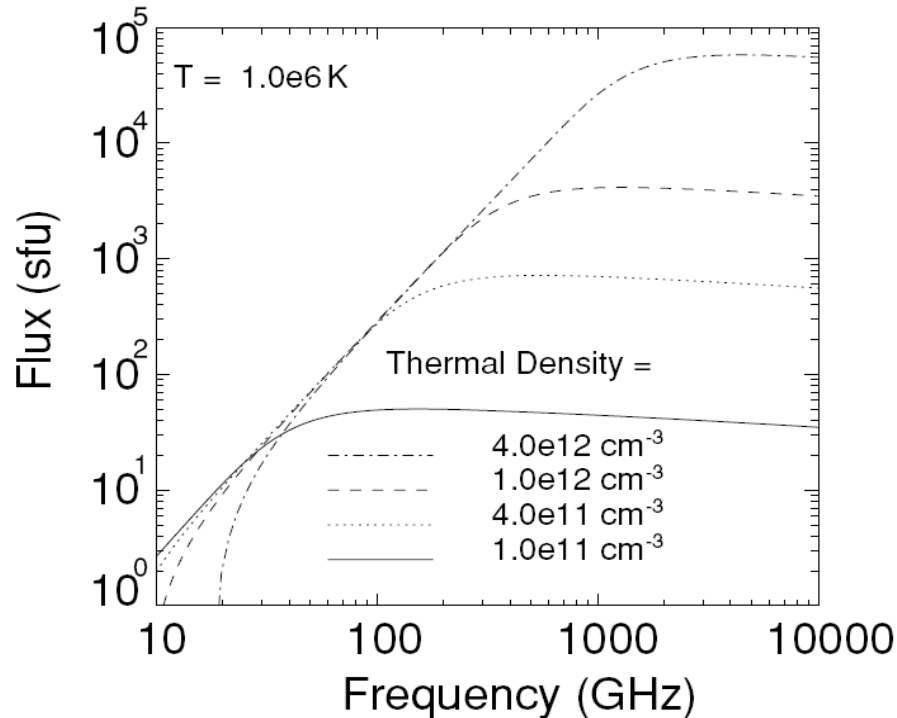
$$\nu_p = \sqrt{\frac{n_e e^2}{\pi m_e}},$$

<= plasma frequency

Photons are produced by **free-free transitions** of electrons - also known as **Bremsstrahlung** ('braking radiation')



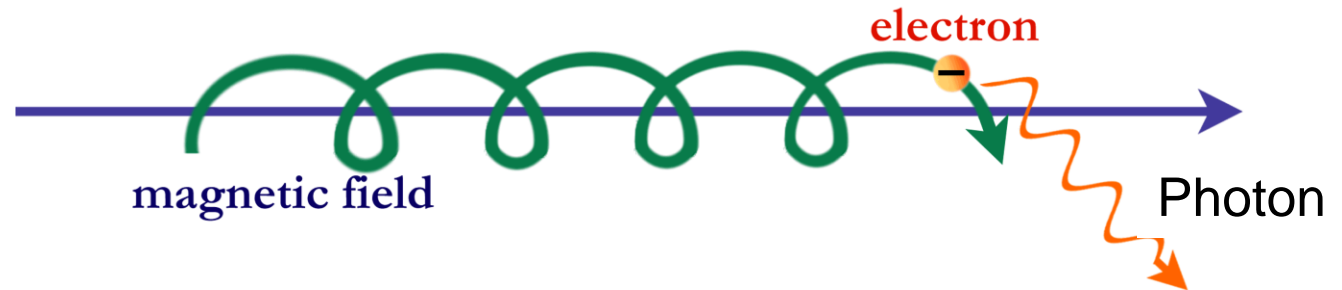
A rising spectrum from a compact (20'') source requires that the source is relatively **dense** ( $n_e \sim 10^{11} \text{ cm}^{-3}$ ) and **hot** ( $T_e \sim 10 \text{ MK}$ ).



**Thermal free-free radio spectra** produced from a uniform cubic source with a linear size of 20'' for  $n_e = 10^{11}$  to  $4 \times 10^{12} \text{ cm}^{-3}$  and  $T_e = 0.5\text{--}5 \text{ MK}$ .

## Cyclotron Radiation

Any constant velocity component parallel to the magnetic field line leaves the radiation unaffected (no change in acceleration), and electron spirals around the field line.

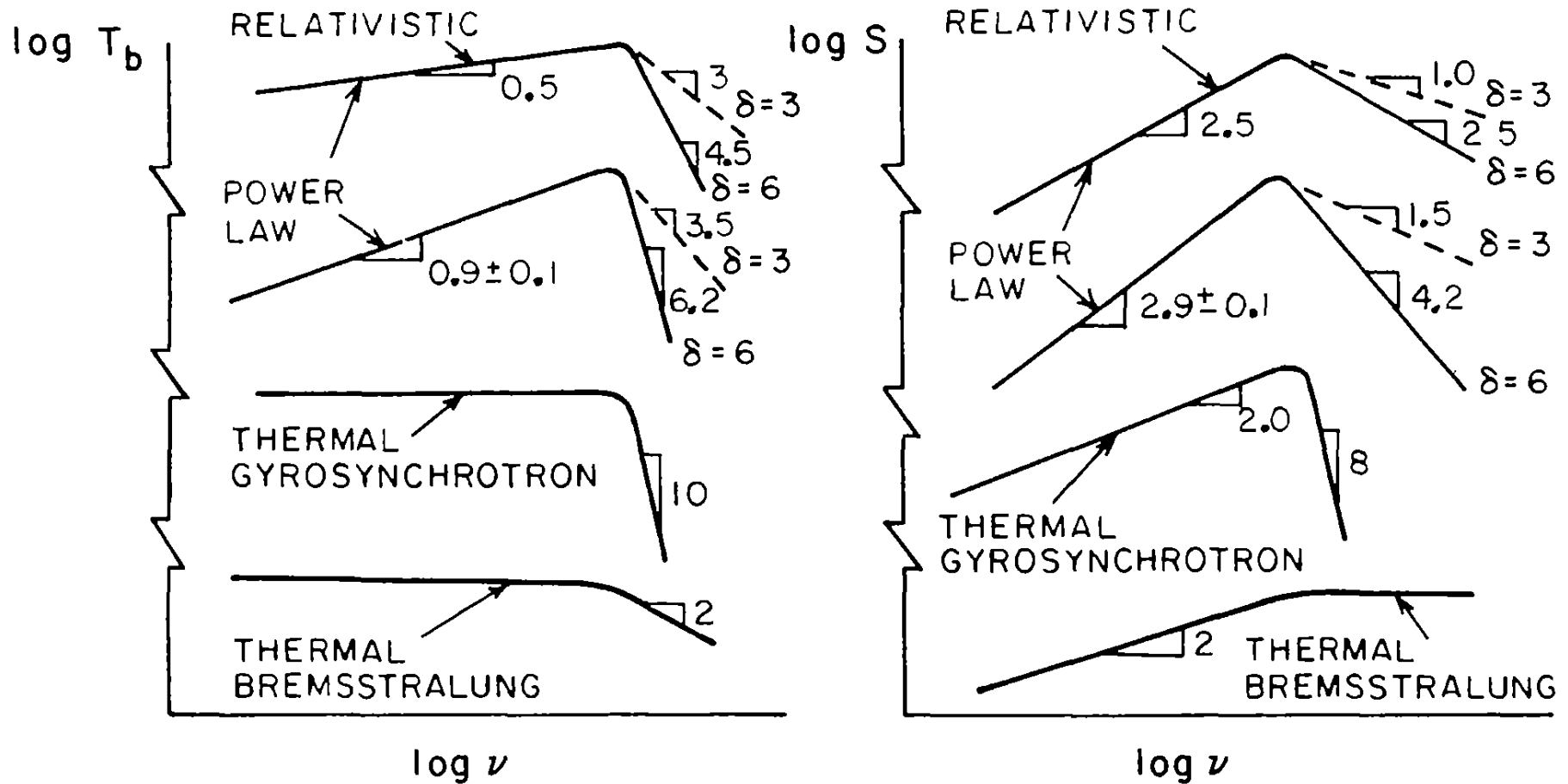


**Electron cyclotron line** has frequency

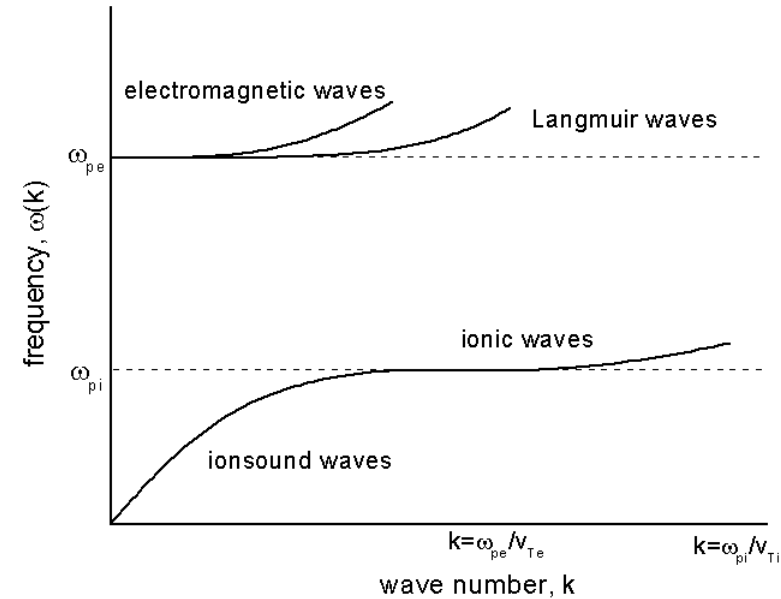
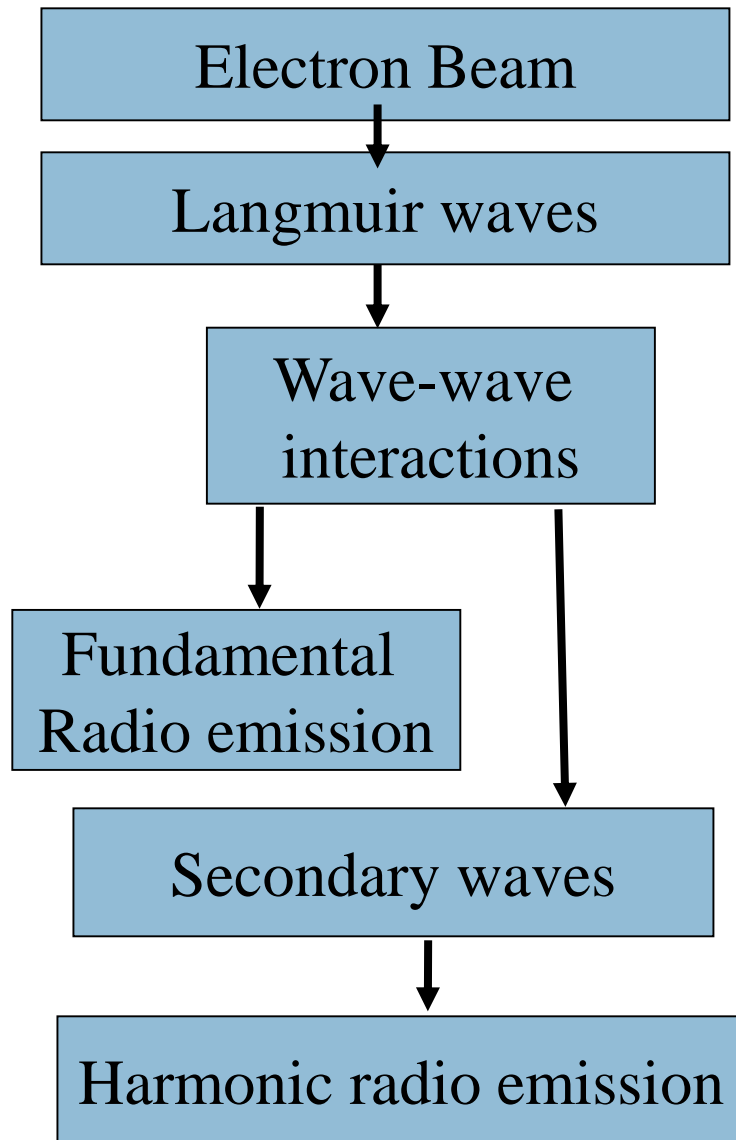
$$\nu_B = \Omega_e / 2\pi = eB / 2\pi m_e c \approx 2.8 \times 10^6 B.$$

In ultra-relativistic limit, this radiation is known as **synchrotron** – it is strongly **Doppler shifted** and **forward beamed** due to **relativistic aberration**.

In mildly or sub relativistic limit, this radiation is known as **Gyrosynchrotron**



Brightness Temperature and Flux density as a function of frequency for various emission mechanisms ([Dulk, 1985](#))



**Coherent emission** due to wave-wave and wave-particle interaction

$$v_p = \omega_p/2\pi = [n_e e^2 / \pi m_e]^{1/2} \approx 9000 n_e^{1/2}$$

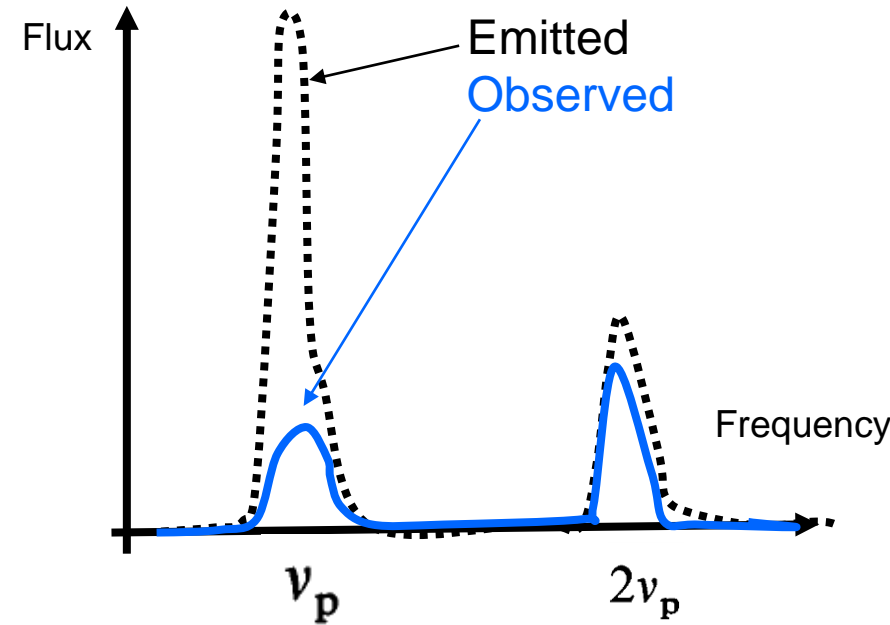
plasma frequency

**Fundamental radio emission** (at local plasma frequency)

- 1) Ion-sound decay  $L=T+S$
- 2) Scattering off ions  $L+i=T+i$

**Harmonic radio emission** (double plasma frequency)

- 1) Decay and coalescence  
 $L=L'+S, L+L'=T$
- 2) Scattering and coalescence  
 $L+i=L+i', L+L'=T$



For each act of decay or coalescence we have the corresponding conservation laws for momentum and energy require:

$$\mathbf{k}' = \mathbf{k}'' + \mathbf{k}, \quad \omega(\mathbf{k})_{\sigma'} = \omega(\mathbf{k})_{\sigma''} + \omega(\mathbf{k})_{\sigma}$$

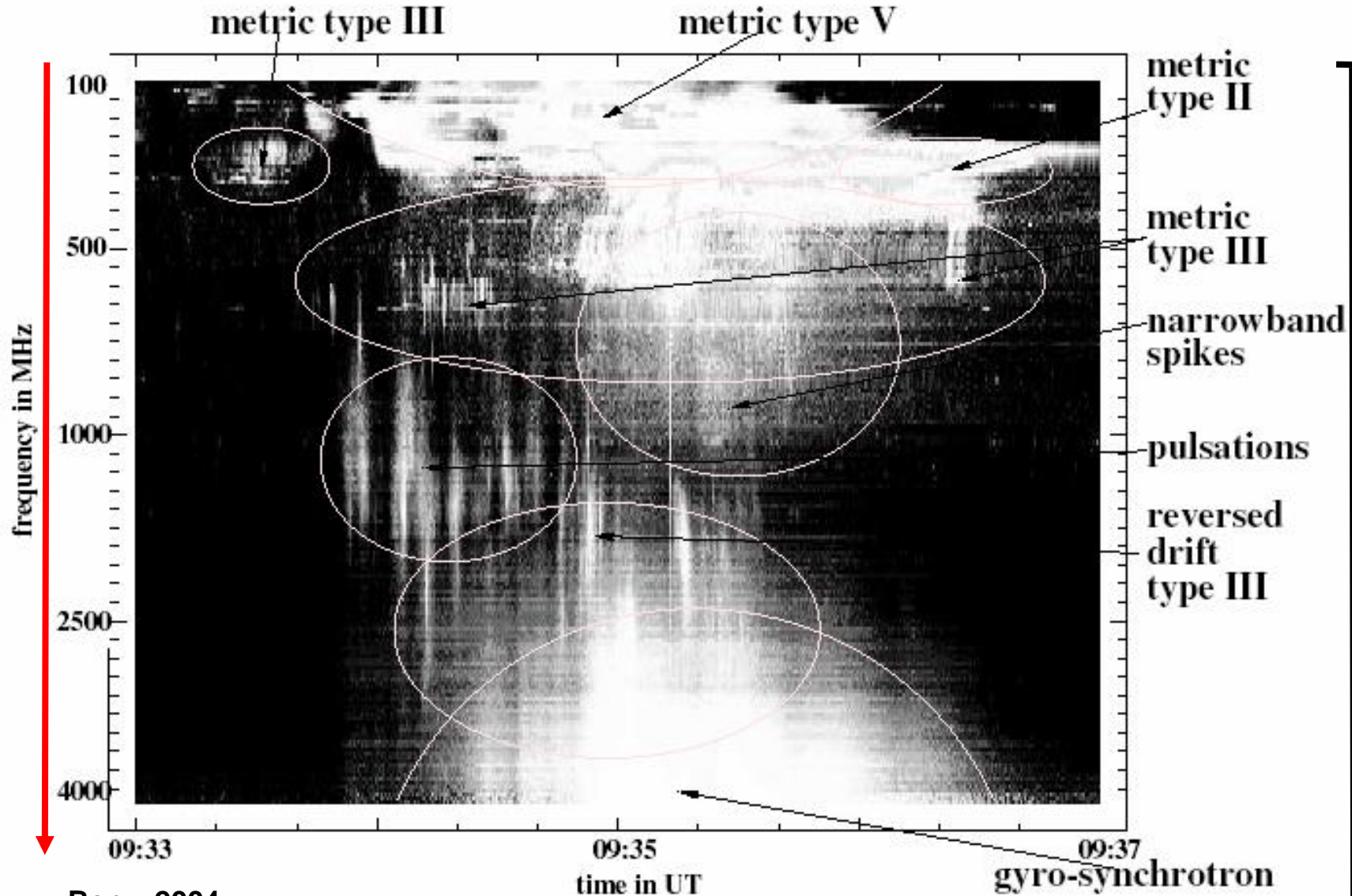


# Radio emission from active Sun

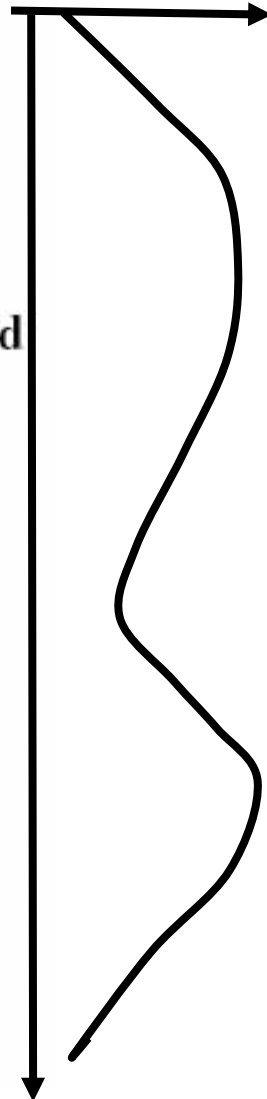


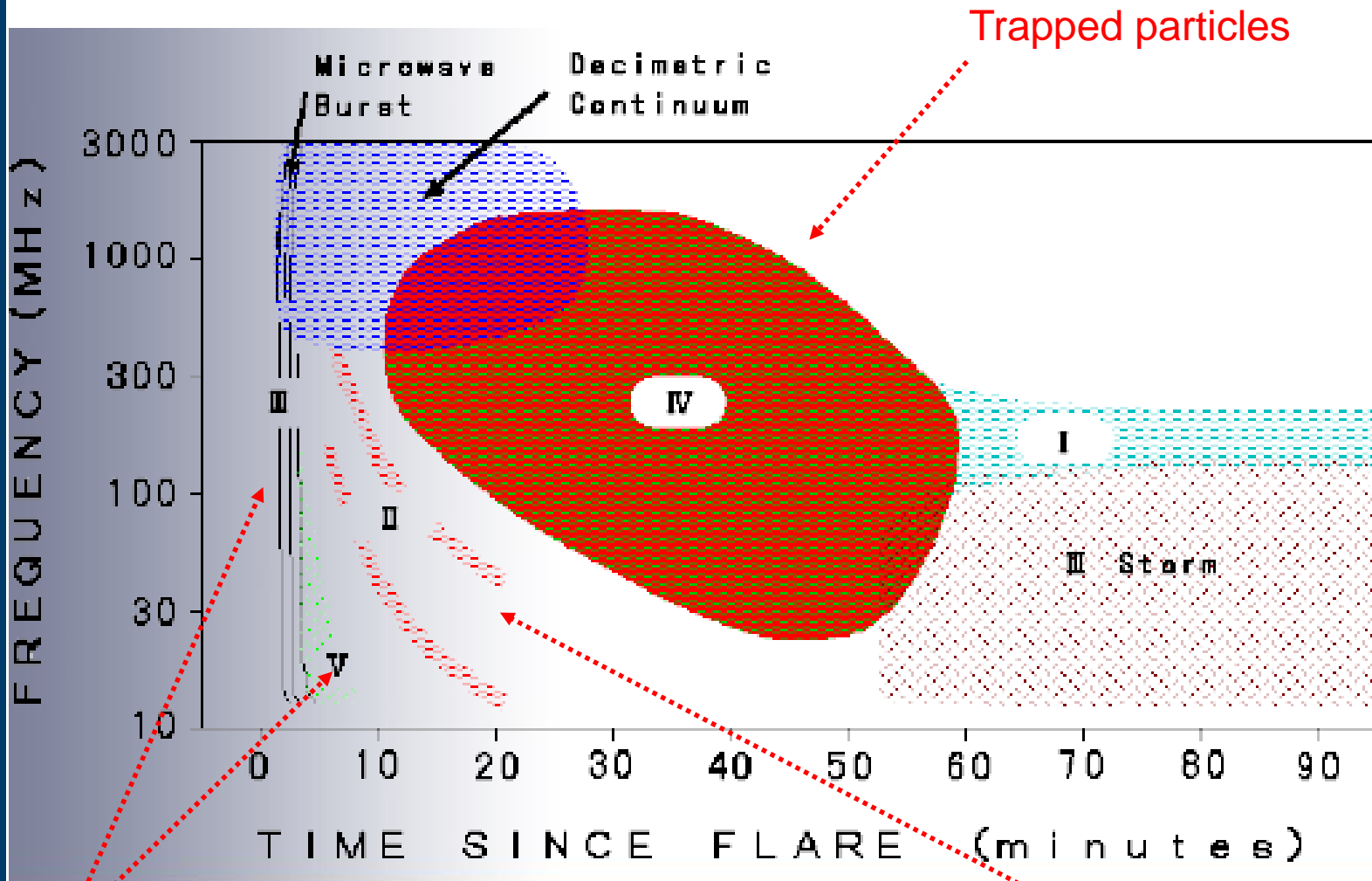


A typical dynamic spectrum of an active Sun



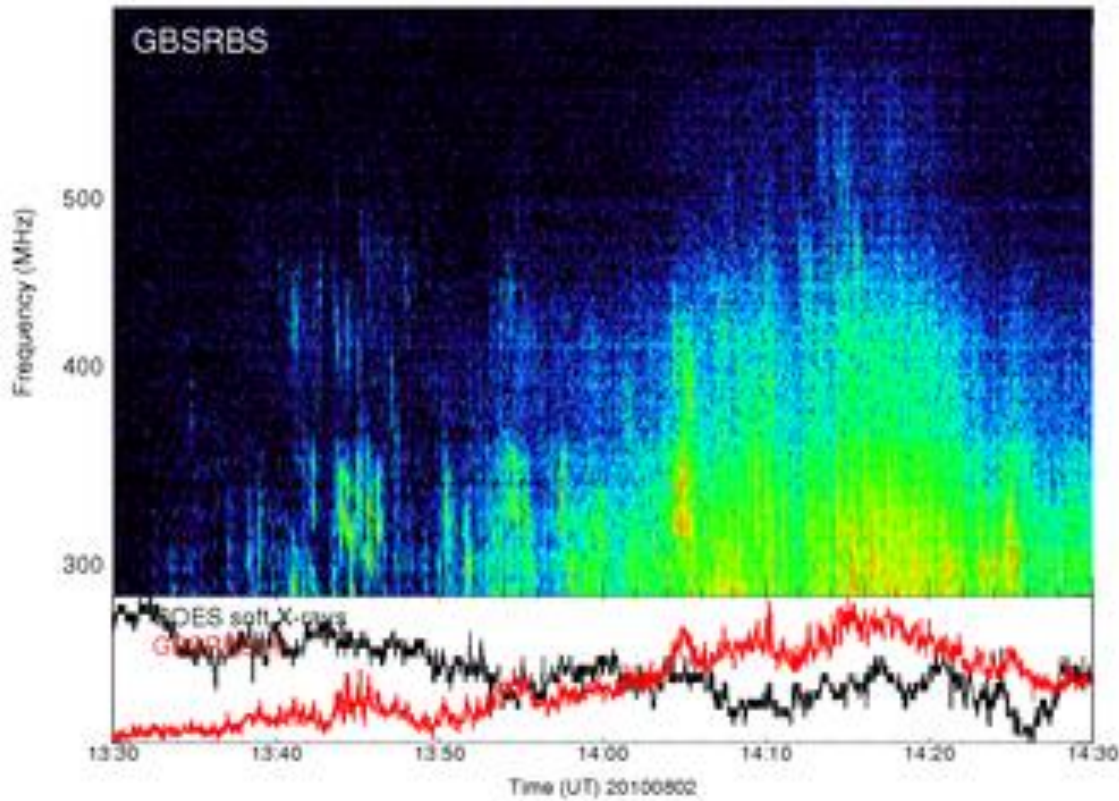
Benz, 2004





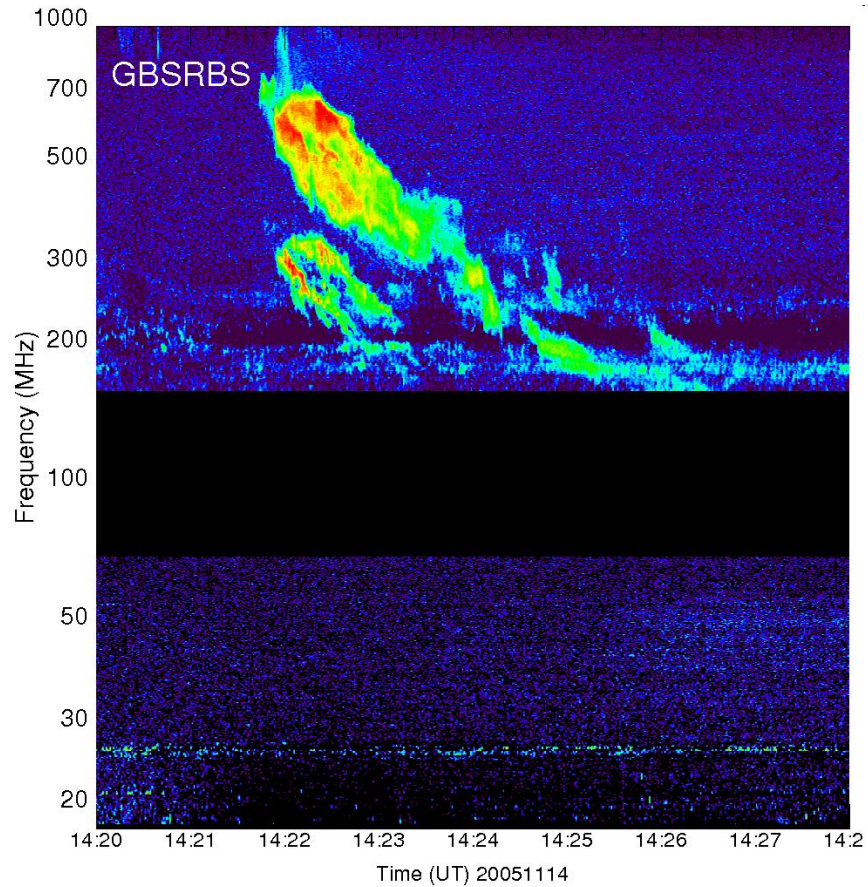
Signatures of energetic electrons

Signatures of shocks



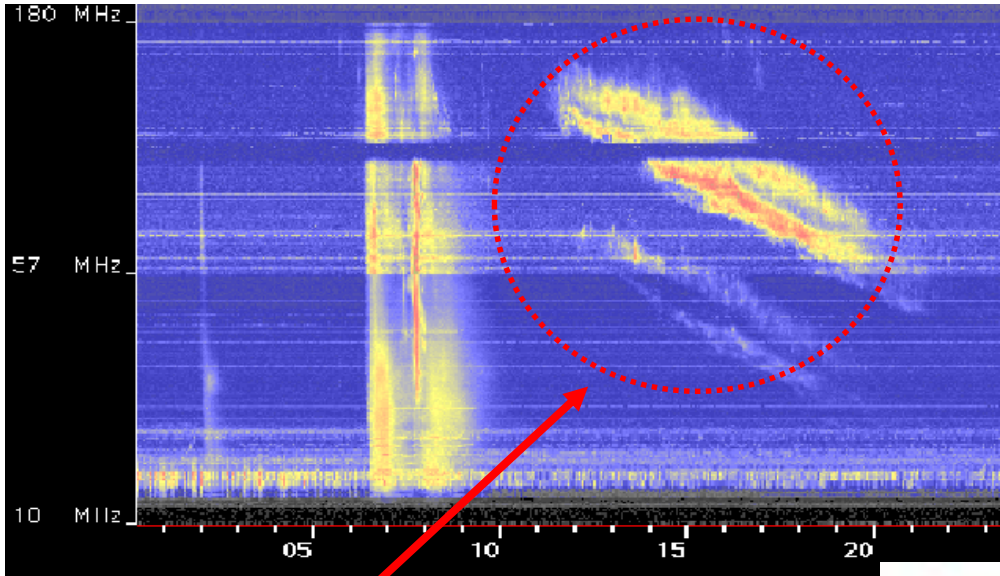
**Emission  
mechanism: plasma  
emission**

**Exciter: hot plasma  
with non-thermal tail?**



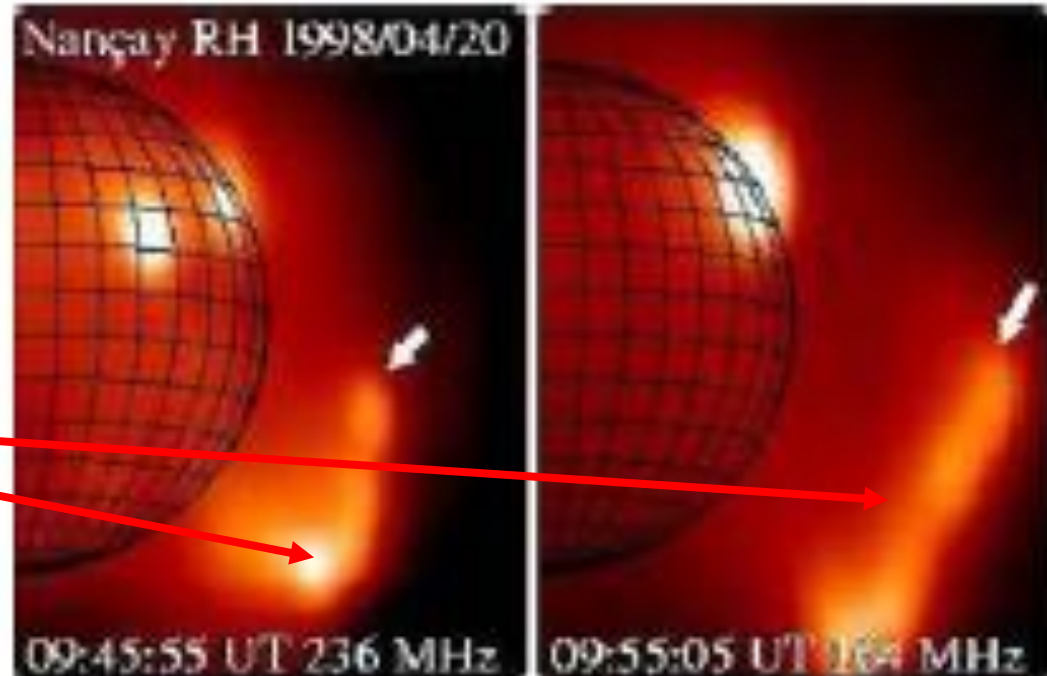
**Emission mechanism:**  
plasma emission

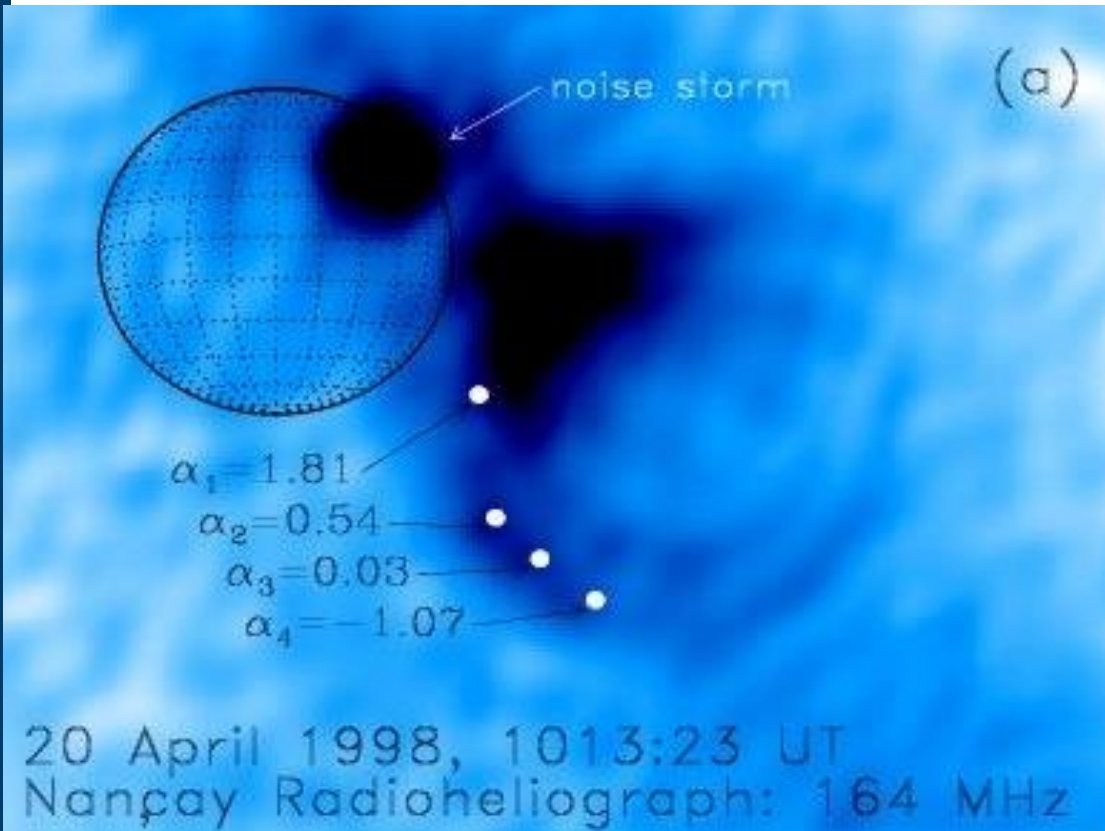
**Exciter:** shock waves



Formation and propagation of the shocks and CMEs

**Type II radio burst → prime diagnostic of outward-moving coronal shock waves**





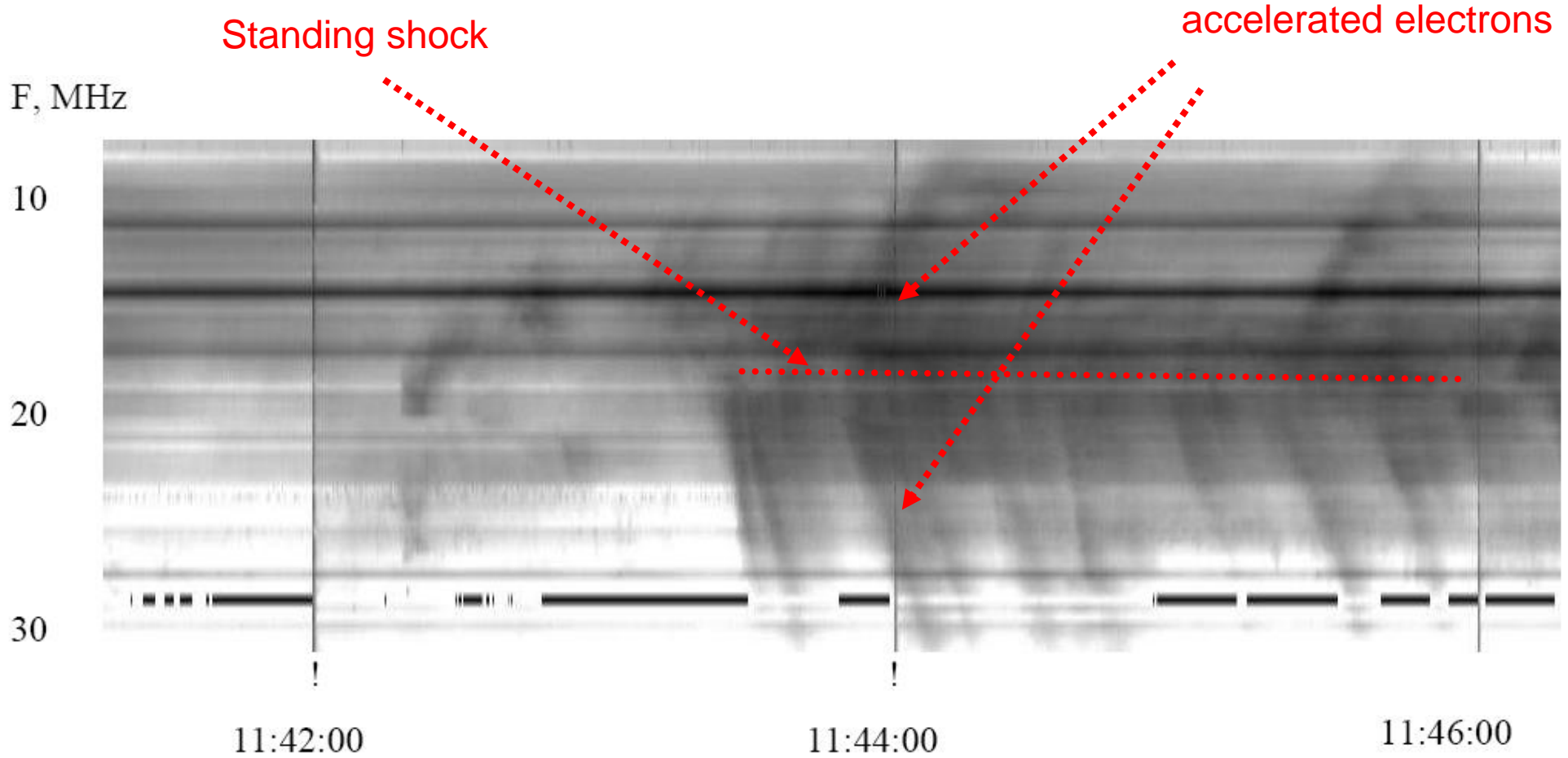
Radio emission is  
gyrosynchrotron from electrons  
trapped in weak-field  
structures:  
→ electron energy distribution  
→ magnetic field  
strength/direction  
→ dynamic evolution of coronal  
structures

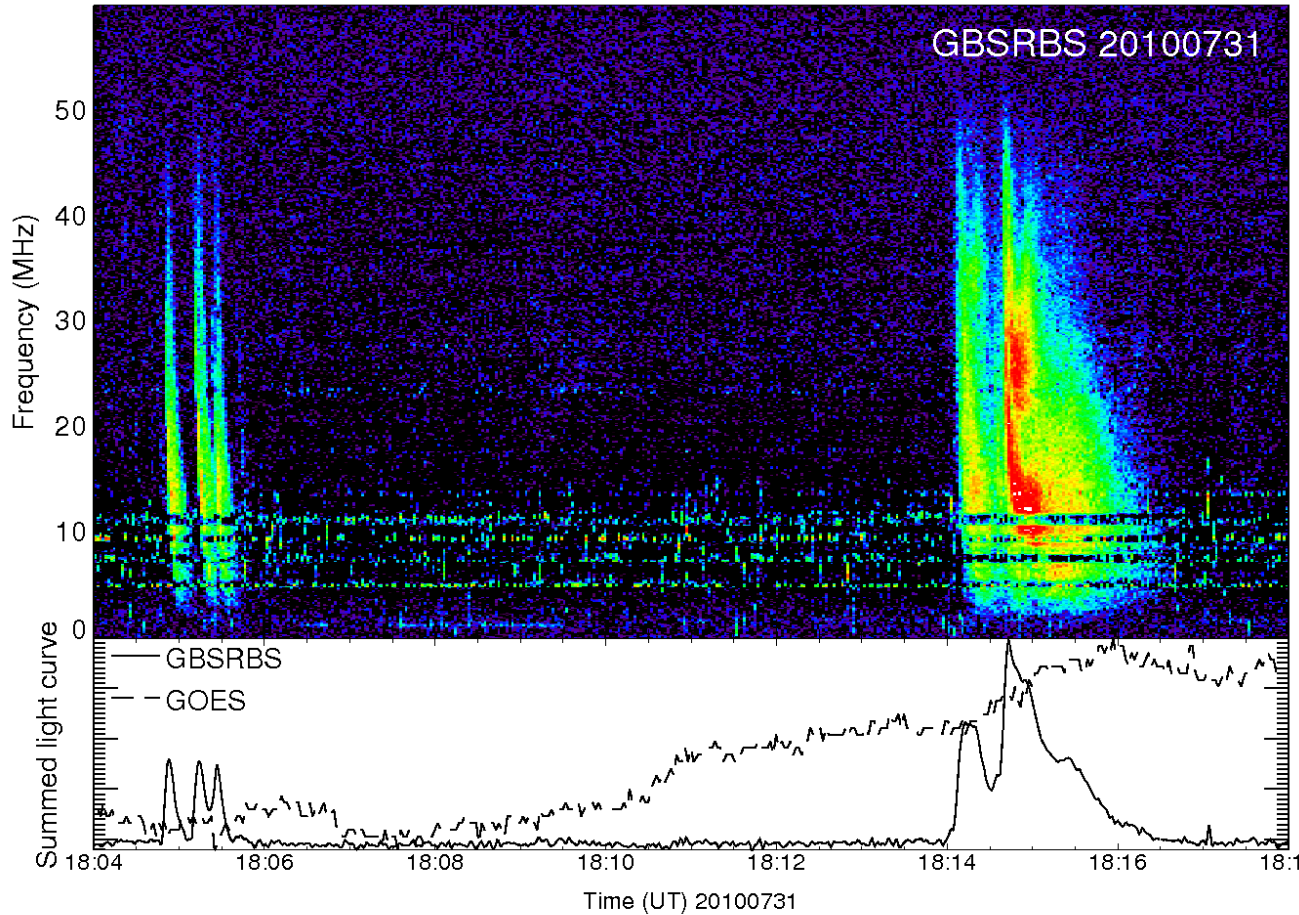
Image of a CME at 164MHz using the  
Nancay Radioheliograph (Bastian et al.  
2001)

## Key questions:

- What is CME/flare relationship?
- How do they develop and evolve into interplanetary disturbances?
- What are their effects on the surrounding solar/heliospheric plasma?

## Type II with herring-bone structure: acceleration of electrons by shocks

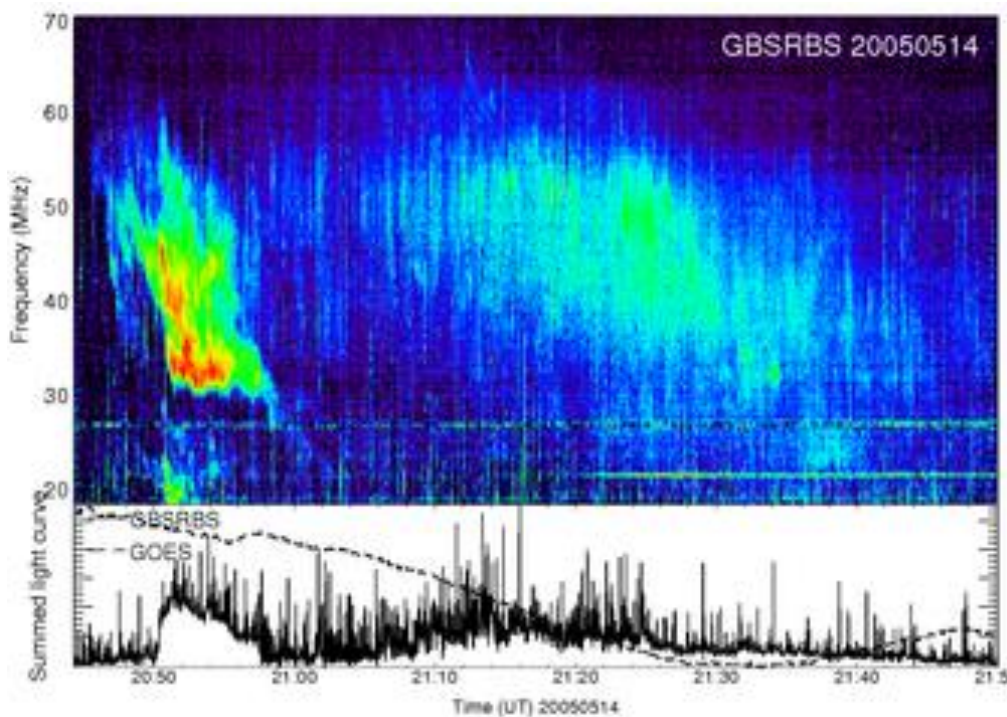




**Emission mechanism:** plasma emission

**Exciter:** energetic electron beams





**Emission mechanism:**  
plasma emission

**Exciter:** trapped particles and  
wave particle interaction with  
MHD waves?



How are energetic particles  
produced?

For a single particle, the equation of motion (SI units)

$$m \frac{d\mathbf{v}}{dt} = q\mathbf{E} + q\mathbf{v} \times \mathbf{B}$$

The change of kinetic energy

$$m\mathbf{v} \frac{d\mathbf{v}}{dt} = \frac{d}{dt} \left( \frac{mv^2}{2} \right) = q\mathbf{v}\mathbf{E}$$

hence to have energy gain, we need  $q\mathbf{v}\mathbf{E} > 0$

Although the energy gain does not depend on  $\mathbf{B}$  explicitly, it enters as an important parameter via  $\mathbf{v}(\mathbf{t}, \mathbf{r})$  – the evolution of the velocity in space and time.

Let us consider electron in collisional plasma. For simplicity, we consider fields parallel to electron velocity:

$$m_e dv/dt = eE - \nu_c m_e v \quad \text{where} \quad \nu_c = \frac{e^4 n_e \ln \Lambda}{2\pi\epsilon_0^2 m_e^2 v^3} = \frac{e^4 n_e \ln \Lambda}{2\pi\epsilon_0^2 m_e^{1/2} (3kT)^{3/2}}$$

is a collisional frequency.

There is a critical velocity that sets right hand side to zero.

Electrons with the velocities larger than the critical are accelerated.

The process is called *electron runaway*.

Assuming thermal distribution of electrons, there is critical electric field, called *Dreicer field* (Dreicer, 1959):

$$E_D = \frac{e^3 n_e \ln \Lambda}{6\pi\epsilon_0^2 kT}$$

Putting the constants, one finds:

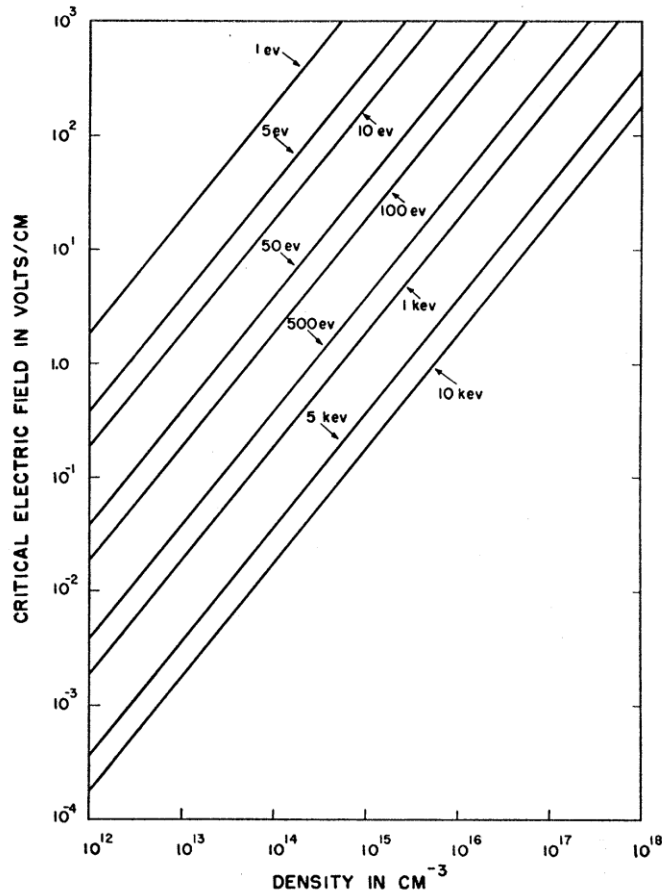
$$E_D = 2 \times 10^{-13} \frac{n_e \ln \Lambda}{T} \text{ V m}^{-1}$$

where number density is measured in *particles per cubic meter* and temperature in K.

Typical values of *Dreicer field* in the solar corona  $\sim 0.01 \text{ V/m}$

DC electric field models can be categorized according to the electric field:

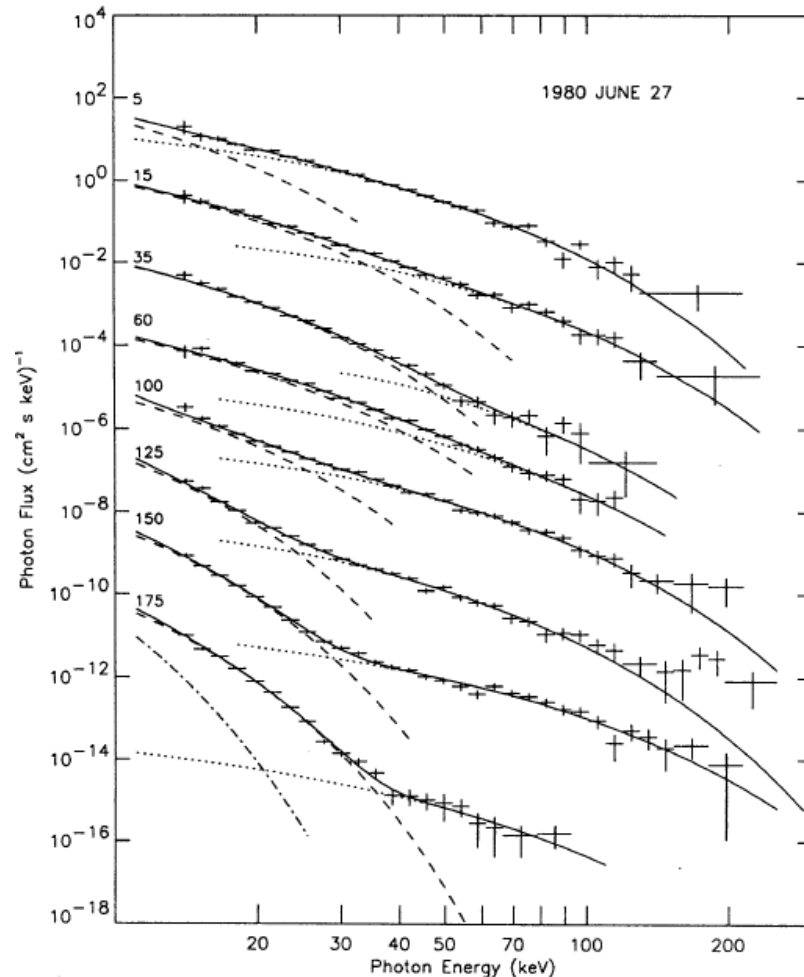
- a) *weak sub-Dreicer*
- b) *strong super-Dreicer*



*Figure: Dreicer field as a function of temperature and density (Dreicer, 1959)*



Runaway acceleration in sub-Dreicer fields has been applied to solar flares by a number of authors (Kuijpers (1981), Heyvaerts (1981), Holman (1985), etc)

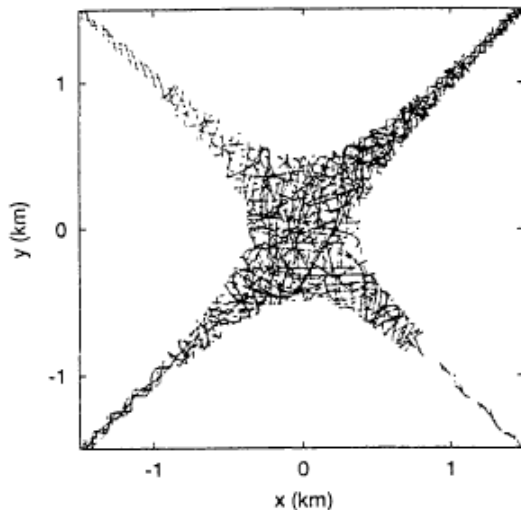
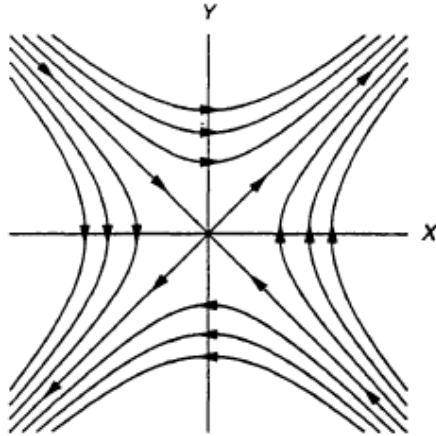


In principle, such models can explain observations, e.g. Benka and Holman (1994) demonstrate good spectral fits.

*Open questions:*

- 1) *Stability of the involved DC currents*
- 2) *Large scale fields e.g., the size of a loop  $10^{10}$  cm*
- 3) *Issues with return current*

Models with super-Dreicer require smaller spatial scales (e.g. Litvinenko (1996, 2003) etc)

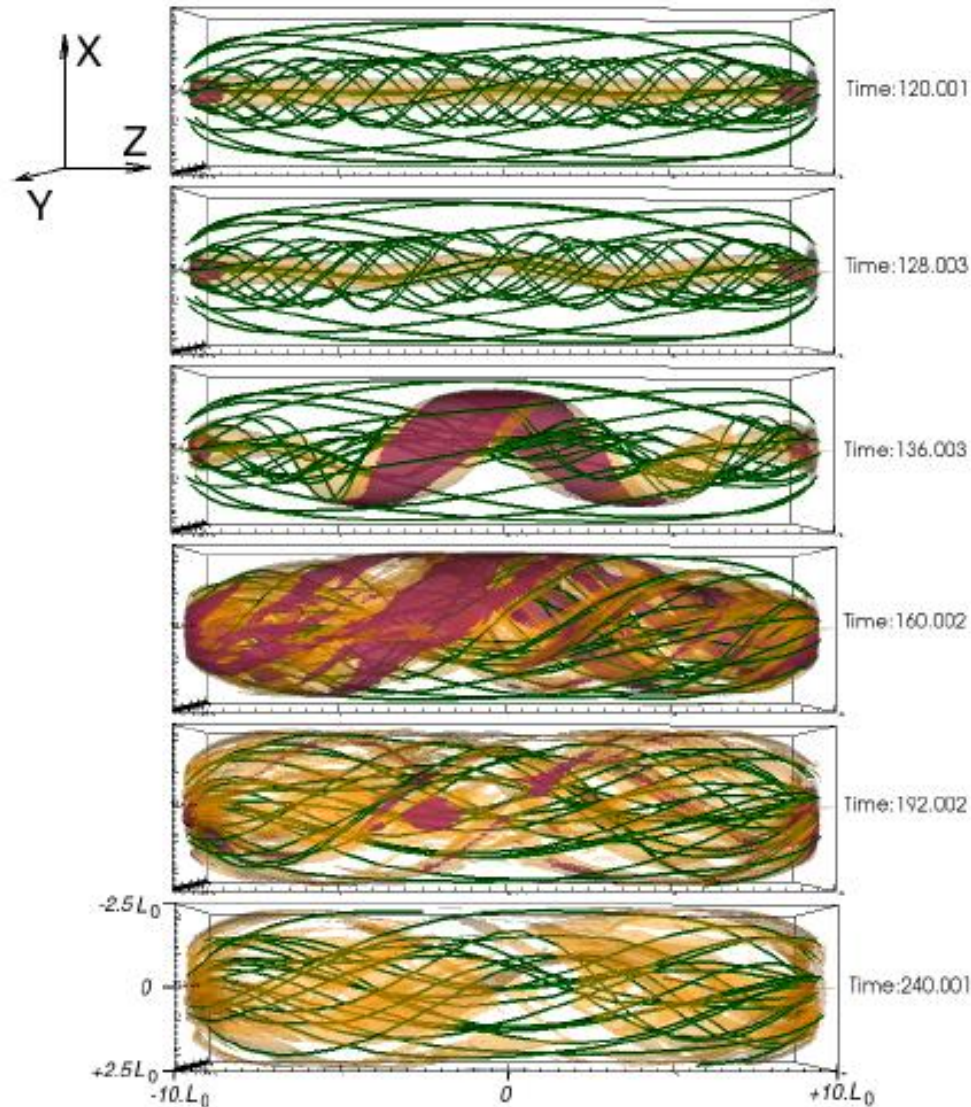


The energy spectrum of particles near an X-point is found to have a power-law functions  $N(E) \sim E^{-\alpha}$ ,  $\alpha$  in the range 1.3-2.0

Sub-Dreicer fields might be responsible for bulk acceleration and super-Dreicer field from super-thermal seed (Aschwanden, 2006 as a book).

## Open questions:

- 1) Supply of electrons
- 2) Consistency of the description



Are particles accelerated within the loop?

Multiple current sheets  
 Vlahos et al 1998, Turkmani et al, 2005, Hood et al, 2008, Browning et al 2008, Gordovskyy et al, 2012

Plasma turbulence acceleration  
 Sturrock, 1966, Melrose, 1968  
 Miller et al 1997, Petrosian et al, 1994; Bian et al, 2012





# Fermi acceleration

The story started in 1936. Austrian physicist V. Hess measured radiation level in 1912 balloon experiment.

Interesting enough, *C.T.R. Wilson* observed radiation with cloud chamber experiment (1902) in a railway tunnel near Peebles, Scotland. However, concluded that the radiation cannot be cosmic.

## On the Origin of the Cosmic Radiation

ENRICO FERMI

*Institute for Nuclear Studies, University of Chicago, Chicago, Illinois*

(Received January 3, 1949)

A theory of the origin of cosmic radiation is proposed according to which cosmic rays are originated and accelerated primarily in the interstellar space of the galaxy by collisions against moving magnetic fields. One of the features of the theory is that it yields naturally an inverse power law for the spectral distribution of the cosmic rays. The chief difficulty is that it fails to explain in a straightforward way the heavy nuclei observed in the primary radiation.

### I. INTRODUCTION

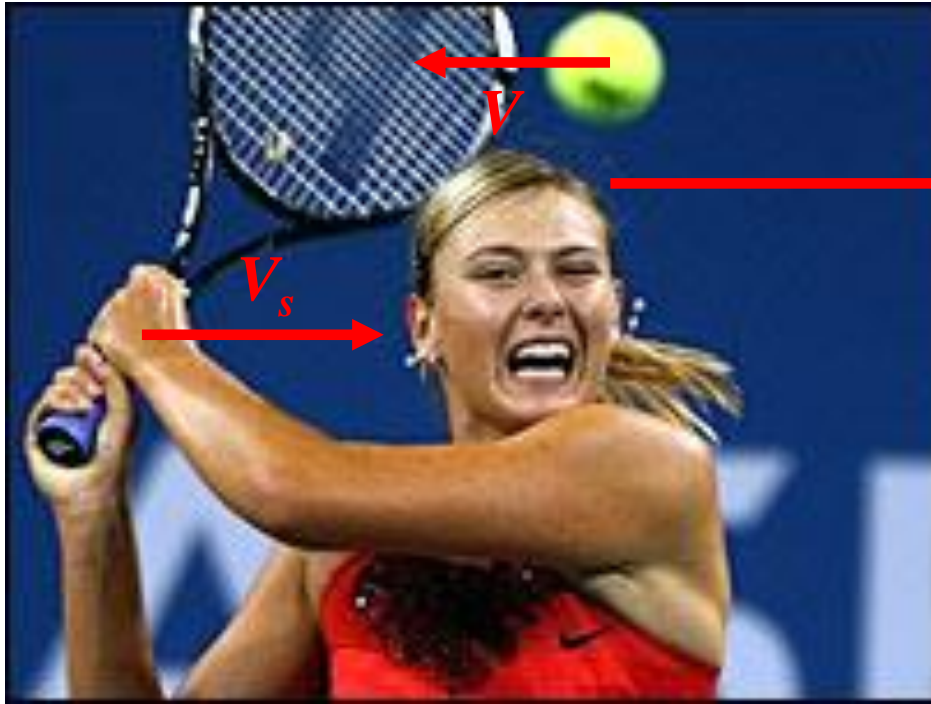
IN recent discussions on the origin of the cosmic radiation E. Teller<sup>1</sup> has advocated the view that cosmic rays are of solar origin and are kept relatively near the sun by the action of magnetic

where  $H$  is the intensity of the magnetic field and  $\rho$  is the density of the interstellar matter.

One finds according to the present theory that a particle that is projected into the interstellar medium with energy above a certain injection

Fermi (1949) explained the acceleration of cosmic-ray particles by reflection on moving magnetic clouds.

Naturally explains *inverse power-law distributions*.



$$V' = V + 2V_s(t)$$

$V_s(t) > 0 \Rightarrow$  energy gain

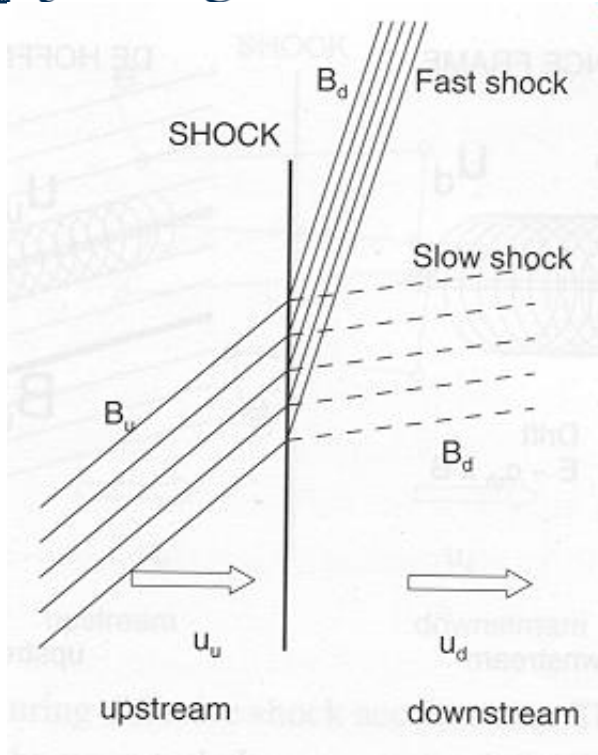
$V_s(t) < 0 \Rightarrow$  energy loss

Let  $V_s(t) = A \cos(\omega t)$

**Net energy gain:**  $\langle V' \rangle^2 - \langle V \rangle^2 = 2A^2$

**No energy change in the frame of the racket!**

- 1) Exchange of energy per collision is small
- 2) Head-on collisions are more frequent



If  $\mathbf{c}_{sh}$  is the velocity of the shock structure (e.g. magnetic field acting as a mirror) then the change in particle energy for one collision is

$$\Delta \varepsilon = -2\varepsilon \frac{\mathbf{c}_{sh} \cdot \mathbf{v}_{\parallel}}{c^2},$$

The probability of head-on collision is proportional to  $v + \mathbf{c}_{sh}$  while the probability of overtaking collision is proportional to  $v - \mathbf{c}_{sh}$

Taking into account the probabilities the average gain per collision is

$$\langle \Delta \varepsilon \rangle \approx \frac{v + c_{sh}}{2v} \Delta \varepsilon - \frac{v - c_{sh}}{2v} \Delta \varepsilon \approx 2 \frac{c_{sh}^2}{c^2} \varepsilon.$$

The energy change proportional to the velocity of the shock is *first order Fermi acceleration*; proportional to the square is called *second order of Fermi acceleration* (original Fermi model).

The average rate of energy gain can be written

$$\frac{d\varepsilon}{dt} \approx \frac{2c_{sh}^2}{\tau_{coll} c^2} \varepsilon, \quad \Rightarrow \quad \varepsilon(t_A) = \varepsilon_0 \exp\left(\frac{t_A}{\tau_G}\right),$$

where we introduced “collisional” time.

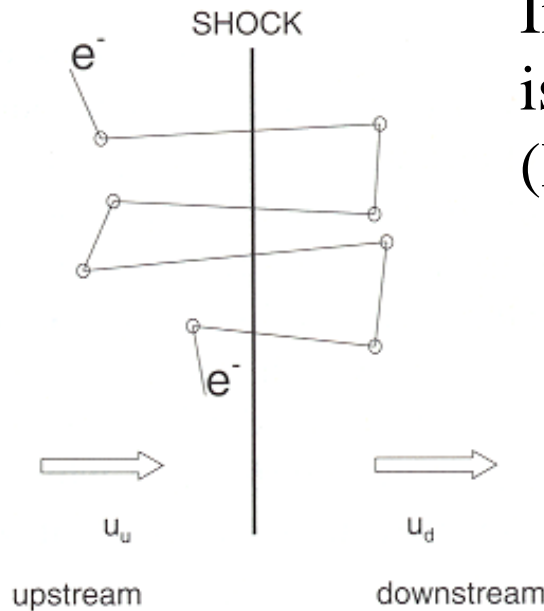
Let  $E = bE_0$  be the average energy of the particle after one collision and  $P$  be the probability that the particle remains within the acceleration region after one collision. Then after  $k$  collisions, there are  $N = N_0 P^k$  particles with energies above  $E = b^k E_0$ . Eliminating  $k$  one finds

$$\frac{N}{N_0} = \left(\frac{E}{E_0}\right)^{\ln P / \ln b}$$

Therefore we find  $N(E)dE \propto E^{-(1+(\ln P / \ln b))} dE$

It can be shown that that the spectral index should be  $\geq 2$ .

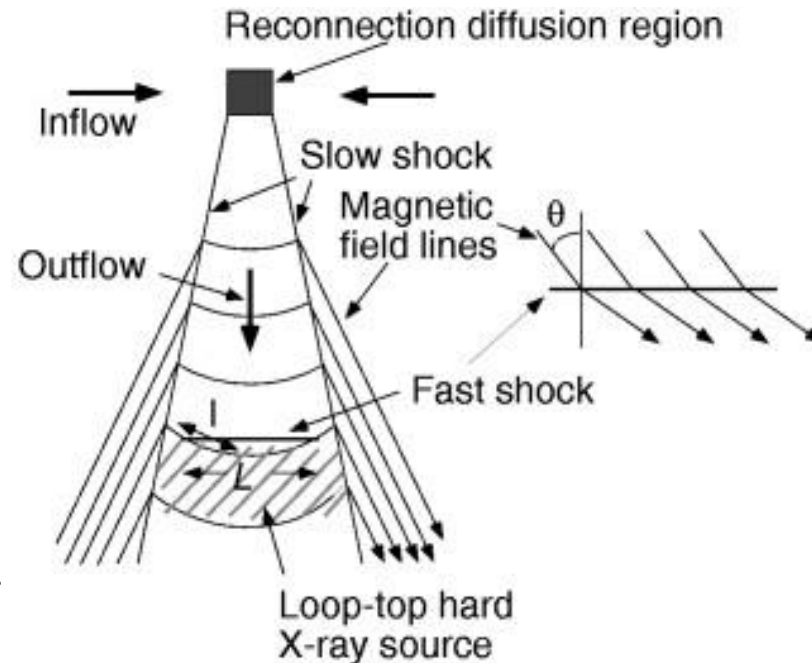
In solar physics, first-order Fermi acceleration is often called shock-drift acceleration (Priest, 1982; Aschwanden, 2006 etc)



**Figure:** Diffusive shock acceleration (second-order Fermi acceleration)

## Open questions:

- 1) Large areas required
- 2) Number of accelerated electrons



First-order acceleration is viable for 10-100 keV electrons under certain conditions and the energy gain is sufficiently fast (Tsuneta & Naito, 1980)

The resonant condition is when *the wave has zero frequency in the rest frame of particle*:

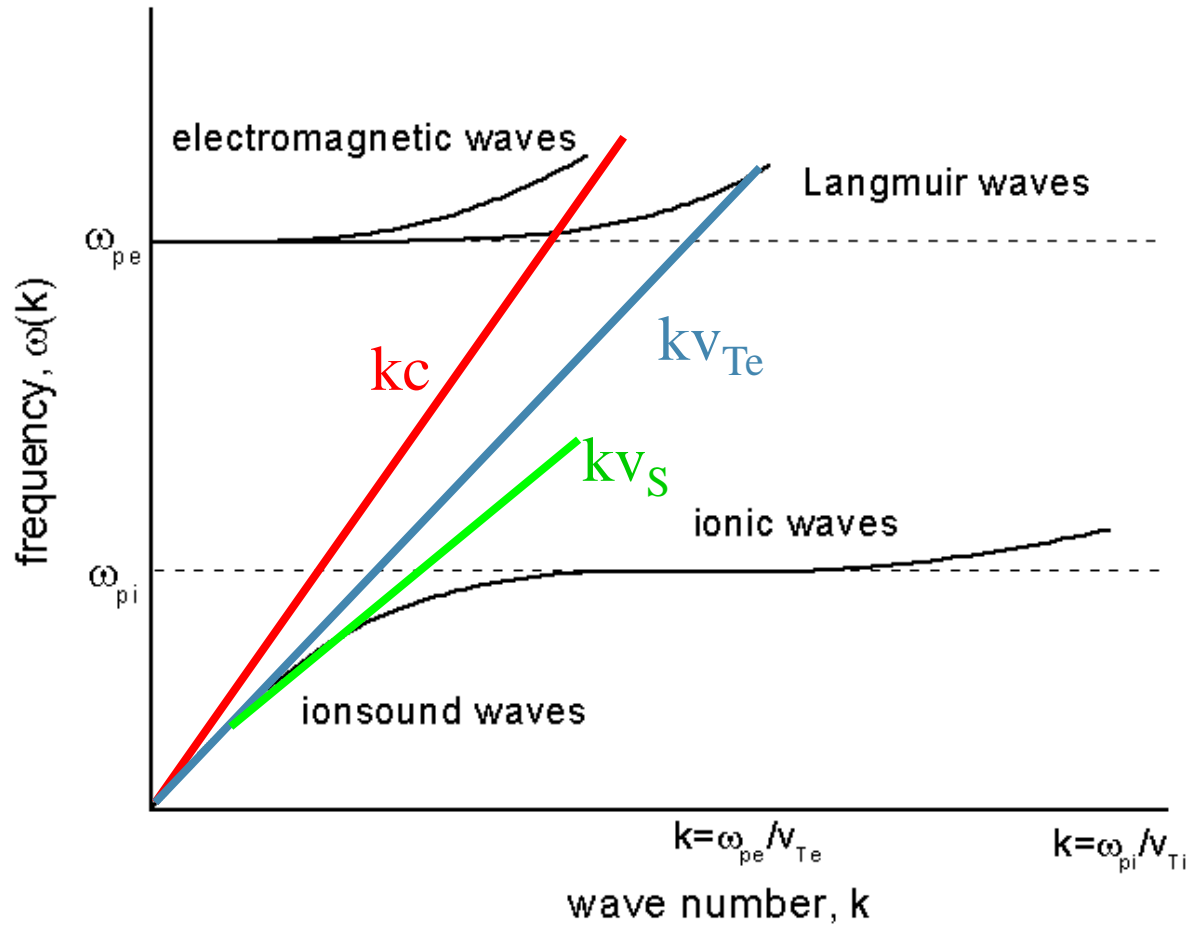
Cherenkov resonance (unmagnetised plasma):

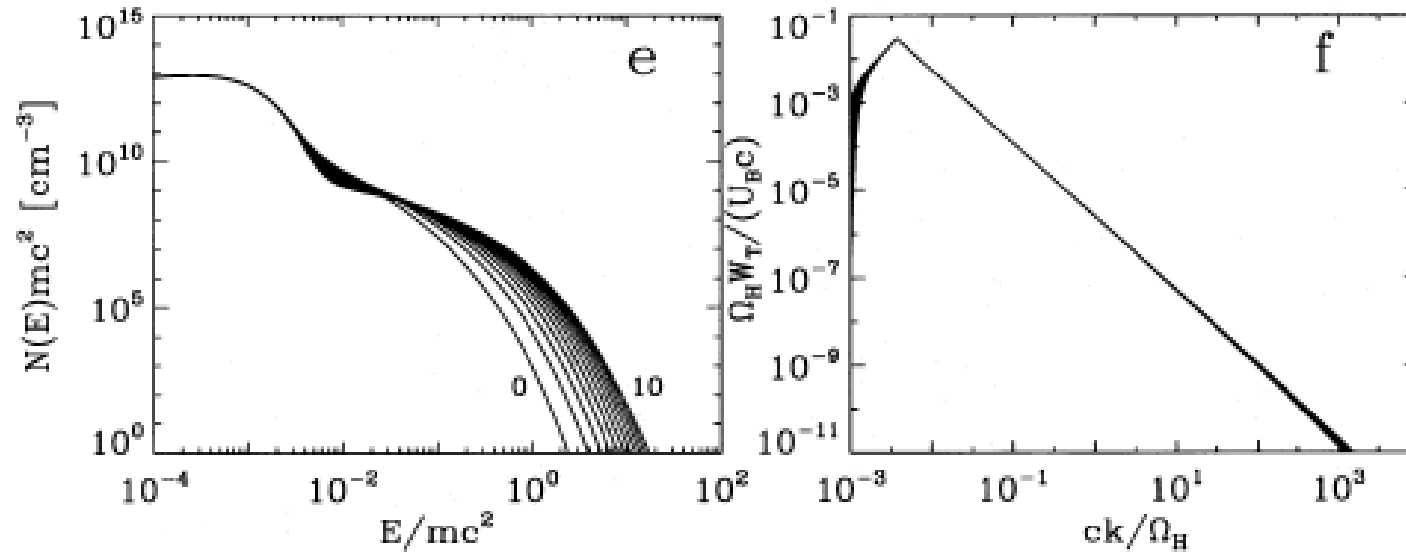
$$\omega - \mathbf{k} \cdot \mathbf{v} = 0$$

Cyclotron resonance (magnetised plasma):

$$\omega - s\Omega - k_{\parallel}v_{\parallel} = 0,$$



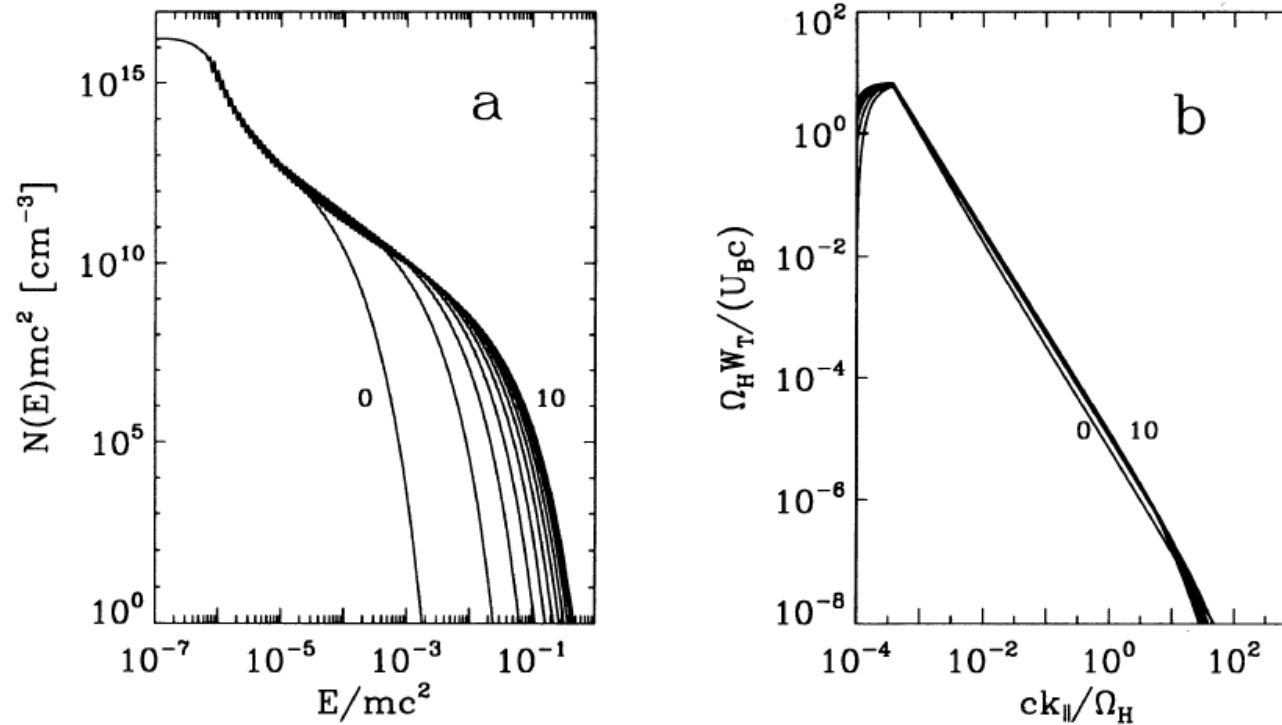




**Figure:** Electron energy spectrum and the spectral density of fast mode waves (Miller et al., 1996)

Various models have been developed to model acceleration of electrons by whistler waves (e.g., Hamilton & Petrosian, 1992; Miller, 1996, 1997)

$$\bar{\omega} - k_{\parallel} v_{\parallel} - l\Omega/\gamma = 0,$$

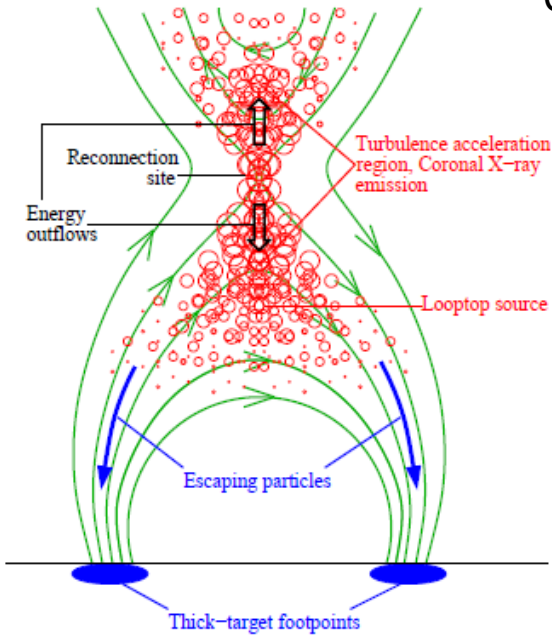


**Figure:** Proton distribution function and Alfvén waves (Miller & Roberts, 1995)

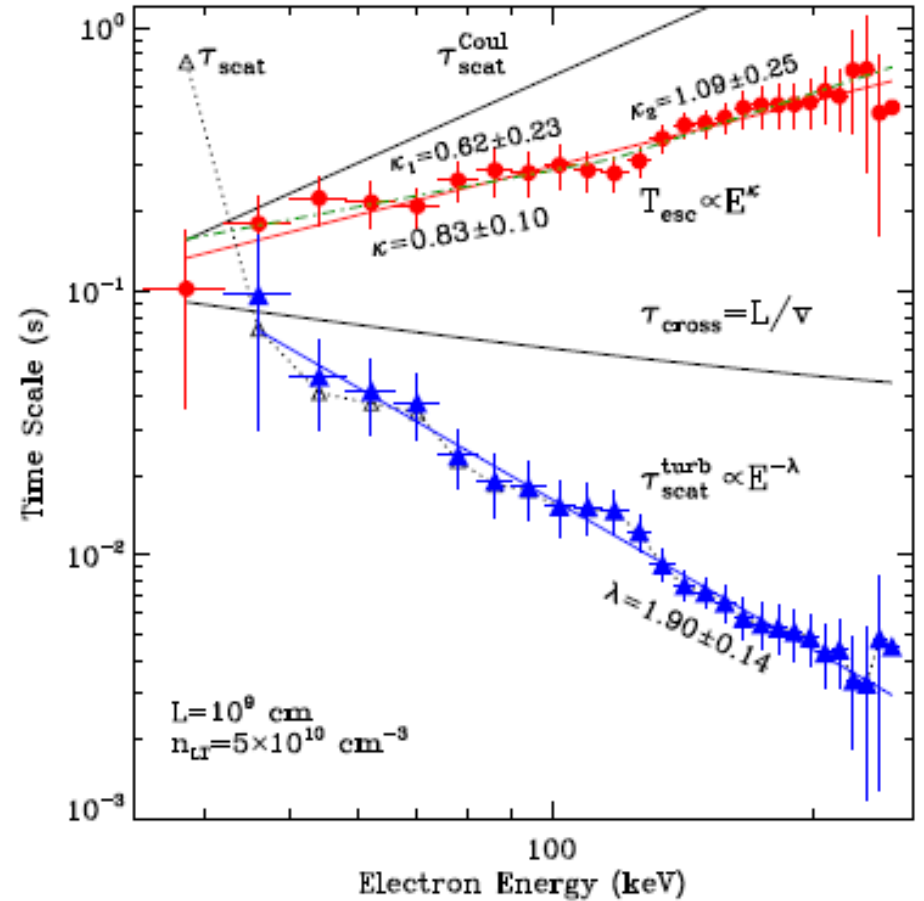
Stochastic acceleration naturally explains enhancement of heavy ions.

**Open questions:** relatively strong turbulence and its origin

Chen & Petrosian, 2010-2013



Liu et al 2008, Petrosian 2012



Reasonable agreement with SA model

=> Although it requires rather steep spectrum of turbulence,

And interestingly scattering timescale is rather large at low energies

=> Transport is treated rather simplistically

**Energetic particles are good emitters of X-ray, gamma-rays and radio waves**

**⇒ Diagnostics of energetic particles**

**Large number of particles are accelerated in solar flares, CMEs. The energetic particles are observed from the Sun to the Earth.**

**The exact mechanism of particle acceleration is still not known. A number of mechanisms are considered each with advantages and disadvantages.**