

A meeting of the Audit Committee will be held on **02 November 2020** at **1000hrs** via **Microsoft Teams** (including video link). *The first fifteen minutes of the meeting is a closed session with Committee members and representatives of external and internal audit.*

### AGENDA

Agenda Item		Item Code	Lead Member/ Officer
<b>1.</b>	<b><u>Introduction, Meeting Record, Reporting and Context</u></b>		
	i. Declarations of Interests	Oral Report	A Corfield
	ii. 14 September 2020 Committee Meeting:		↓
	➤ Summary Minutes	AC20/15	
	➤ Confidential Minutes	AC20/15i	
	➤ Reserved Business Minutes	AC20/15ii	↓
	iii. Summary Action List/Matters Arising	AC20/16	S F O'Donnell
	iv. Committee Membership and Terms of Reference 2020/21	AC20/17	↓
	v. Vice-Chancellor's Horizon Scanning	Oral Report	Prof A Wathey
<b>2.</b>	<b><u>External Audit 2019/20</u></b>		
	i. External Audit Status and Progress Update	Oral Report	C Partridge/ S Newitt
<b>3.</b>	<b><u>Internal Audit 2019/20</u></b>		
	i. Outstanding Audit Actions	AC20/18	S F O'Donnell
	ii. Internal Audit 2019/20 Progress Report and Q4 Audits:	AC20/19	S Kenny
	➤ Core Financial Controls	AC20/20	S Newitt
	➤ Staff Mental Health	AC20/21	J Embley
	➤ Actions Follow Up	AC20/22	S F O'Donnell
	➤ IT Patch Management	AC20/23	S Corbett
	➤ Modified Working Arrangements – IT Controls	AC20/24	↓
	➤ Non-IT Managed Websites	AC20/25	
	iii. Draft Interim Internal Audit Plan 2020/21	AC20/26	S Kenny
<b>4.</b>	<b><u>Policy Risk and Assurance</u></b>		
	i. Financial Regulations Review*	AC20/27	S Newitt
	ii. COVID-19 External Funding Requirements – Covid Corporate Financing Facility (CCFF) Application	Oral Report	↓
	iii. Draft Audit Committee Annual Report 2019/20	AC20/28	S F O'Donnell
	iv. Committee of University Chairs (CUC) Higher Education Audit Committees Code of Practice	AC20/29	↓
	v. Updated Policy on the Engagement of the External and Internal Auditors for Non-Audit Work	AC20/30	S Newitt/ S F O'Donnell
	vi. Reportable Incidents Summary	AC20/31	S F O'Donnell
	vii. Strategic Risk Dashboard	AC20/32	↓
	viii. Committee Agenda Plan 2020/21	AC20/33	A Corfield
	<i>All attendees to leave the meeting for the subsequent Agenda Item</i>		
<b>5.</b>	<b><u>Any Other Business</u></b>		
	i. Reserved/Private Committee Discussion	Oral Report	A Corfield

\* Items marked "\*" will be taken as read without discussion at the meeting, unless a request to open the item is made in advance to the Chair.