



Monday 13 September 2021

AUDIT COMMITTEE

A meeting of the Audit Committee will be held on **Monday 13 September 2021 at 1400hrs** via **Microsoft Teams** (including video link). *The first fifteen minutes of the meeting is a closed session with Committee members and representatives of external and internal audit.*

AGENDA

Agenda Item		Item Code	Lead Member/ Officer
1.	<u>Introduction</u>		
	i. Declarations of Interests	Oral Report	J Bromiley
	ii. Committee Membership and Terms of Reference 2021/22	AC21/01	
	iii. Vice-Chancellor's Horizon Scanning	Oral Report	Prof A Wathey
2.	<u>External Audit 2020/21</u>		
	i. Progress Report	Oral Report	C Partridge
	ii. Key Accounting Judgements and Areas of Audit Focus	AC21/02	S Newitt
	iii. Non-Audit Services for Potential VAT Savings – Progress Report	AC21/03	S Newitt
3.	<u>Internal Audit 2020/21 and 2021/22</u>		
	i. Outstanding Audit Actions	AC21/04	G Bailes
	ii. Internal Audit Progress Report 2020/21 and Audit Reports:	AC21/05	S Reid
	➤ Payroll	AC21/06	J Embley
	➤ IT Major Incident Management and Reporting	AC21/07	Dr S Corbett
	iii. Draft Internal Audit Plan 2021/22	AC21/08	S McNair
4.	<u>Policy, Risk and Assurance</u>		
	i. Health, Safety and Wellbeing Report Q4 2020/21	AC21/09	Prof G Marston/ J Embley/ S Pelham
	ii. Draft Prevent Duty Annual Report	AC21/10	G Bailes
	iii. Draft Audit Committee Annual Report 2020/21	AC21/11	G Bailes
	iv. Insurance Coverage Report	AC21/12	S Newitt
	v. Regulatory Reportable Incidents Summary	AC21/13	G Bailes
	vi. Strategic Risk Dashboard	AC21/14	G Bailes
	vii. Committee Agenda Plan 2021/22	AC21/15	J Bromiley
5.	<u>Meeting Record, Reporting and Context</u>		
	i. 24 May 2021 Committee Meeting:		
	➤ Summary Minutes	AC21/16i	J Bromiley
	➤ Confidential Minutes	AC21/16ii	
	➤ Reserved Business Minutes	AC21/16iii	
	ii. 23 June 2021 Committee Meeting	AC21/17	
	iii. Written Resolution: Variation to Internal Audit Plan re Student Feedback	AC21/18	
	iv. Chair's Action: Revised Internal Audit Report: UKVI Agent Marketing	AC21/19	
	v. Matters Arising/Summary Action List	AC21/20	G Bailes
	<i>All attendees to leave the meeting for the subsequent Agenda Item</i>		
6.	<u>Any Other Business</u>		
	i. Reserved/Private Committee Discussion	Oral Report	J Bromiley