



A meeting of the Audit Committee will be held on **Monday 8 November 2021** at **1400hrs** in **room 209, Sutherland Building City Campus West**. *The first fifteen minutes of the meeting is a closed session with Committee members and representatives of external and internal audit.*

AGENDA

Agenda Item		Item Code	Lead Member/ Officer
1.	<u>Introduction</u>		
	i. Declarations of Interests	Oral Report	J Bromiley
	ii. Committee Membership and Terms of Reference 2021/22	AC21/21	J Bromiley/ G Bailes
	iii. Recruitment of Co-opted Committee Member	Oral Report	G Bailes
	iv. Vice-Chancellor's Horizon Scanning	Oral Report	Prof A Wathey
2.	<u>External Audit 2020/21</u>		
	i. External Audit Report	AC21/22	C Partridge
	ii. Annual Report and Financial Statements 2020/21	AC21/23	S Newitt
3.	<u>Internal Audit 2020/21 and 2021/22</u>		
	i. Outstanding Audit Actions	AC21/24	G Bailes
	ii. Internal Audit Progress Report 2020/21	AC21/25	S Reid
	iii. Audit Reports:		
	➤ Core Financial Controls	AC21/26	S Newitt
	➤ UKVI	AC21/27	Prof J Reast
	➤ Follow-Up	AC21/28	S Reid
	iv. Internal Audit Annual Report and Opinion 2020/21	AC21/29	S Reid
	v. Internal Audit Plan 2021/22	AC21/30	S McNair
	vi. Internal Audit Progress Report 2021/22	AC21/31	S McNair
	vii. Thought Leadership: Academic Quality	AC21/32	S McNair
	viii. Thought Leadership: Student Wellbeing	AC21/33	S McNair
4.	<u>Policy, Risk and Assurance</u>		
	i. Health, Safety and Wellbeing Annual Report 2020/21	AC21/34	Prof G Marston/ E Pritchard/ J Embley/ S Pelham
	ii. Prevent Duty Annual Report	AC21/35	G Bailes
	iii. Annual Value for Money Report 2020/21	AC21/36	S Newitt
	iv. Audit Committee Annual Report 2020/21	AC21/37	G Bailes
	v. Regulatory Reportable Incidents Summary	AC21/38	G Bailes
	vi. Strategic Risk Dashboard	AC21/39	G Bailes
	vii. Committee Agenda Plan 2021/22	AC21/40	J Bromiley
5.	<u>Meeting Record, Reporting and Context</u>		
	i. 13 September 2021 Committee Meeting:		
	➤ Summary Minutes	AC21/41i	J Bromiley
	➤ Confidential Minutes	AC21/41ii	
	➤ Reserved Business Minutes	AC21/41iii	
	ii. Matters Arising/Summary Action List	AC21/42	G Bailes
	<i>All attendees to leave the meeting for the subsequent Agenda Item</i>		
6.	<u>Any Other Business</u>		
	i. Reserved/Private Committee Discussion	Oral Report	J Bromiley