



Monday 14 November 2022

AUDIT COMMITTEE

A meeting of the Audit Committee will be held on **Monday 14 November 2022** at **1415hrs** in room **209, Sutherland Building, City Campus West**. *The first fifteen minutes of the meeting is a closed session with Committee members and representatives of external and internal audit.*

AGENDA

Agenda Item		Item Code	Lead Member/ Officer
1.	<u>Introduction</u>		
	i. Declarations of Interests	Oral Report	J Bromiley
	ii. Vice-Chancellor's Horizon Scanning	Oral Report	Prof A Long
2.	<u>External Audit Plan 2021/22</u>		
	i. External Audit Report	AC22/20	C Partridge
	ii. Annual Report and Financial Statements 2021/22	AC22/21	S Newitt
3.	<u>Internal Audit 2021/22</u>		
	i. Outstanding Audit Actions	AC22/22	G Bailes
	a. Update on progress on Information Security action 4.1	Oral report	S Corbett
	ii. Internal Audit Progress Report 2022/23	AC22/23	S McNair
	iii. Audit Reports:		
	a. Salary Sacrifice	AC22/24	J Embley
	b. ERP System	AC22/25	Dr S Corbett
	iv. Internal Audit Annual Report and Opinion 2021/22	AC22/26	S McNair
4.	<u>Policy, Risk and Assurance</u>		
	i. Strategic Risk Register	AC22/27	G Bailes
	ii. Operational Risk Management	AC22/28	G Bailes
	iii. Safeguarding and Prevent Annual Report 2021/22	AC22/29	G Bailes
	iv. Annual Value for Money Report 2021/22	AC22/30	S Newitt
	v. Audit Committee Annual Report 2021/22	AC22/31	J Bromiley
	vi. TRAC Return 2021/22	AC22/32	S Newitt
	vii. Regulatory Reportable Incidents Summary	AC22/33	G Bailes
	viii. Committee Agenda Plan	AC22/34	J Bromiley
5.	<u>Meeting Record, Reporting and Context</u>		
	i. 23 May 2022 Committee Meetings:		
	➤ Summary Minutes	AC22/35	J Bromiley
	➤ Confidential Minutes	AC22/36	
	➤ Reserved Business Minutes	AC22/37	
	ii. 23 September 2022 Committee meeting:		
	➤ Summary Minutes	AC22/38	
	➤ Confidential Minutes	AC22/39	
	iii. By circulation 6 October 2022:		
	➤ Summary Minutes	AC22/40	
	➤ Confidential Minutes	AC22/41	
	iv. Matters Arising/Summary Action List	AC22/42	G Bailes
	<i>All attendees to leave the meeting for the subsequent Agenda Item</i>		
6.	<u>Any Other Business</u>		
	i. Reserved/Private Committee Discussion	Oral Report	J Bromiley