

# AGENDA

**Monday 18 September 2023**

## AUDIT COMMITTEE

A meeting of the Audit Committee will be held on **Monday 18 September 2023** at **1015hrs** via **Microsoft Teams (including videolink)**. *The first fifteen minutes of the meeting is a closed session with Committee members and representatives of external and internal audit.*

*All Items on this Agenda are Confidential*

Item		To	Code	Lead
<b>1. Introduction</b>				
i.	Declaration of Interests	Note	Oral Report	J Bromiley
ii.	Vice-Chancellor's Horizon Scanning	Note	Oral Report	Prof A Long
<b>2. External Audit Plan 2022/23</b>				
i.	External Audit Progress Report	Note	Oral Report	D Chamberlain
ii.	Key Accounting Judgements and Areas of Audit Focus	Endorse	AC23/01	S Newitt
<b>3. Internal Audit Plan</b>				
i.	Outstanding Audit Actions	Consider	AC23/02	G Bailes
	a. Progress update on Information Security Action	Note	Oral Report	S Corbett
ii.	Internal Audit Progress Report 2022/23 and 2023/24	Note	AC23/03	S McNair
iii.	Audit Reports:	Note		
	a. ERP Phase 2		AC23/04	S Newitt
	b. Health and Safety		AC23/05	Prof G Marston
	c. Continuous Programme Review (updated report)*		AC23/06	
iv.	Final Internal Audit Plan 2023/24	Note	AC23/07	S McNair
<b>4. Policy, Risk and Assurance</b>				
i.	Health and Safety Q4 and Annual Summary 2022/23	Note	AC23/08	Prof G Marston/ R Savidis/ S Pelham
ii.	Strategic Risk Register	Note	AC23/09	G Bailes
iii.	Draft Audit Committee Annual Report	Consider	AC23/10	G Bailes
iv.	Insurance Coverage Report	Note	AC23/11	S Newitt
v.	Regulatory Reportable Incidents Summary	Note	AC23/12	G Bailes
vi.	Attendance Monitoring: Compliance and Risk	Note	AC23/13	Prof G Wynn
vii.	ESFA Degree Apprenticeship Audit Update	Note	AC23/14	Prof G Wynn/ Prof S Soppitt
<b>5. Constitutional and Administrative Items</b>				
i.	Committee Agenda Plan	Note	AC23/15	J Bromiley
ii.	22 May 2023 Committee Meeting	Confirm		J Bromiley
	Summary Minutes		AC23/16	
	Confidential Minutes		AC23/17	
	Reserved Business Minutes		AC23/18	
iii.	Circulated Item: Health, Safety and Wellbeing Report Q3 2022/23	Confirm	AC23/19	
iv.	Matters Arising/ Summary Action List	Confirm	AC23/20	G Bailes
<i>All attendees to leave the meeting for the subsequent Agenda Item</i>				
<b>6. Any Other Business</b>				
i.	Reserved/Private Committee Discussion		Oral Report	AC Chair

### AUDIT COMMITTEE MEETING CLOSE

*\*Items marked “\*” will be taken as read without discussion at the meeting, unless a request to open the item is made in advance to the Chair.*