

# AGENDA

**Monday 13 November 2023**

## AUDIT COMMITTEE

A meeting of the Audit Committee will be held on **Monday 13 November 2023 at 1015hrs in Room 209, Sutherland Building**. *The first fifteen minutes of the meeting is a closed session with Committee members and representatives of external and internal audit.*

*All Items on this Agenda are Confidential*

Item		To	Code	Lead
<b>1. Introduction</b>				
i.	Declaration of Interests	Note	Oral Report	J Bromiley
ii.	Vice-Chancellor's Horizon Scanning	Note	Oral Report	Prof A Long
<b>2. External Audit Plan 2022/23</b>				
i.	External Audit Report	Note	AC23/22	D Chamberlain
ii.	Annual Report and Financial Statements	Endorse	AC23/23	S Newitt
<b>3. Internal Audit Plan</b>				
i.	Outstanding Audit Actions	Consider	AC23/24	G Bailes
	a. Progress update on Information Security Action	Note	Oral Report	Dr S Corbett
ii.	Internal Audit Progress Report 2022/23 and 2023/24	Note	AC23/25	S McNair
iii.	Audit Reports (2022/23):			
	a. NMC Competence Centre	Consider	AC23/26	Prof G Marston/ D Jackson
	b. Research Pipeline	Consider	AC23/27	A Poole
iv.	Internal Audit Annual Report and Opinion	Note	AC23/28	S McNair
<b>4. Policy, Risk and Assurance</b>				
i.	Strategic Risk Report	Endorse	AC23/29	G Bailes
ii.	Risk Appetite Statement	Endorse	AC23/30	G Bailes
iii.	Safeguarding and Prevent Annual Report	Endorse	AC23/31	G Bailes
iv.	Audit Committee Annual Report	Approve	AC23/32	G Bailes
v.	Health and Safety Report Q1 2023/23	Note	AC23/33	Prof G Marston/ R Savidis
vi.	Health and Safety Policy and Operating Arrangements Annual Review	Endorse	AC23/34	Prof G Marston/ R Savidis
vii.	Value for Money Annual Report	Note	AC23/35	S Newitt
viii.	TRAC	Note		S Newitt
	a. Approach		AC23/36	
	b. Benchmarking		AC23/37	
ix.	Financial Regulations	Consider	AC23/38	S Newitt
x.	Regulatory Reportable Incidents Summary	Note	AC23/39	G Bailes
<b>5. Constitutional and Administrative Items</b>				
i.	Committee Agenda Plan	Note	AC23/40	J Bromiley
ii.	18 September 2023 Committee Meeting			J Bromiley
	a. Summary Minutes	Confirm	AC23/41	
	b. Confidential Minutes	Confirm	AC23/42	
iii.	Circulated Item: Health and Safety Response to Audit Findings	Note	AC23/43	
iv.	Matters Arising/ Summary Action List	Note	AC23/44	G Bailes
<i>All attendees to leave the meeting for the subsequent Agenda Item</i>				
<b>6. Any Other Business</b>				
i.	Reserved/Private Committee Discussion		Oral Report	J Bromiley

**AUDIT COMMITTEE MEETING CLOSE**

*\*Items marked “\*” will be taken as read without discussion at the meeting, unless a request to open the item is made in advance to the Chair.*