



A meeting of the Audit Committee will be held on **Monday 09 September 2019** at **1000hrs** in **Doha Meeting Room, Basement of Pandon Building, City Campus**. *The first fifteen minutes of the meeting is a closed session with Committee Members and representatives of external and internal audit.*

AGENDA

Agenda Item		Item Code	Lead Member/ Officer
1.	<u>Introduction, Meeting Record, Reporting and Context</u>		
1015	i. Declarations of Interests	Oral Report	A Corfield/ SF O'Donnell
	ii. 07 June 2019 Committee Meeting:		
	➤ Executive Summary	AC19/01	
	➤ Confidential Minutes	AC19/01i	
	➤ Reserved Business Minutes	AC19/01ii	
	iii. Summary Action List/Matters Arising	AC19/02	
	iv. Committee Terms of Reference and Membership 2019/20	AC19/03	
1030	v. Vice-Chancellor's Horizon Scanning	Oral Report	↓ Prof A Wathey
2.	<u>External Audit 2018/19</u>		
1040	i. KPMG Contract Considerations*	AC19/04	P Moran
1050	ii. External Audit Status Update	AC19/05	↓
1100	iii. Key Accounting Judgements and Areas of Audit Focus	AC19/06	S Newitt
3.	<u>Internal Audit</u>		
1110	i. Outstanding Audit Actions	AC19/07	SF O'Donnell
1120	ii. Internal Audit 2018/19 Progress Report and Q4 Audits:	AC19/08	S Reid
1130	➤ Actions Follow Up	AC19/09	SF O'Donnell
1135	➤ Research and Innovation Services	AC19/10	Prof G Marston
1145	iii. Draft Internal Audit Annual Report and Opinion 2018/19	AC19/11	S Reid
1155	iv. Draft Internal Audit Plan 2019/20	AC19/12	↓
1205	v. Internal Audit Charter	AC19/13	
4.	<u>Policy Risk and Assurance</u>		
1210	i. Health, Safety and Wellbeing Report Q4 2018/19	AC19/14	Prof G Marston/ J Embley
1220	ii. Research Ethics and Integrity	AC19/15	Prof G Marston
1230	iii. Insurance Coverage Report 2019/20	AC19/16	S Newitt
1235	iv. Draft Audit Committee Annual Report 2018/19	AC19/17	SF O'Donnell
1240	v. East Midlands Strategic Commercial Unit: Exceptional Approval Report and Lessons Learned	AC19/18	↓
1250	vi. Reportable Incidents Summary	AC19/19	
1255	vii. Strategic Risk Dashboard	AC19/20	↓
1300 hrs	viii. Committee Agenda Plan 2019/20	AC19/21	A Corfield/ SF O'Donnell
CLOSE			

*RESERVED BUSINESS – Discussions excluding the representatives of the internal auditors, EY.